



200 First Street SW
Rochester, Minnesota 55905
507-284-2511

Legal Department

April 30, 2013

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

TO: Top Hat Plan Exemption
Employee Benefits Security Administration
Top Hat Plan Exemption
200 Constitution Avenue, NW, N-1513
Washington, DC 20210

RE: Top Hat Plan Exemption Pursuant to Section 2520.104-23 of the Department of Labor
Regulations for the Mayo Clinic Community Excess Retirement Plan.

This statement constitutes a filing under 29 C.F.R. § 2520.104-23 for Mayo Clinic (the "Employer"). The Employer's address is Mayo Clinic, 200 First Street SW, Rochester, Minnesota 55905. The Employer's EIN is: 41-6011702. The Employer maintains the above-referenced plan primarily for the purpose of providing a deferred compensation plan for a select group of management or highly compensated employees and such plan is anticipated to have approximately 30 participants. This is one of three such plans maintained by the Employer. The other such plans are the Mayo Clinic Supplemental Retirement Plan (with approximately 1400 participants); and the Mayo Clinic 457(b) Plan (with approximately 1200 participants).

If you need any further information, please call at 507-284-1361 or write me. Thank you.

Respectfully submitted,

MAYO CLINIC

A handwritten signature in black ink that reads 'Michael V. Seifert'. The signature is written in a cursive style with a large, prominent 'M' and 'S'.

Michael V. Seifert
Attorney

cc: Timothy Goodman, Esq.

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EUSA/PUBLIC OFFICE

