

April 29, 2013

Ross P. Lanzafame, Esq.  
Chair  
National Board of Directors

Kathryn A. Forbes, CPA  
Chair-Elect  
National Board of Directors

Albert A. Rizzo, M.D.  
Past-Chair

John F. Emmanuel  
Secretary/Treasurer

Marcia D. Williams, Ed.D.  
Speaker  
Nationwide Assembly

Audrene Lojovich  
Speaker-Elect  
Nationwide Assembly

Geri Reinardy, M.P.A.  
Past-Speaker  
Nationwide Assembly

Harold Wimmer  
National President and CEO

**NATIONAL OFFICES**

1301 Pennsylvania Ave., NW  
Suite 800  
Washington, DC 20004-1725  
Phone: (202) 785-3355  
Fax: (202) 452-1805

14 Wall St.  
Suite 8C  
New York, NY 10005-2113  
Phone: (212) 315-8700  
Fax: (212) 608-3219

www.Lung.org

Top Hat Plan Exemption  
Employee Benefits Security Administration, Room N-1513  
United States Department of Labor  
200 Constitution Avenue, NW  
Washington, DC 20210

Re: **Alternative Reporting and Disclosure Statement for Unfunded  
Nonqualified Deferred Compensation Plans for Certain Selected Employees**

To Whom It May Concern:

This statement is being provided pursuant to Regulation 29 CFR §2520.104-23 as the alternative method of compliance with the reporting and disclosure requirements of Part I of Title I of the Employee Retirement Income Security Act of 1974 for unfunded plans for a select group of management or highly compensated employees. In accordance with said Regulation, we hereby provide the following information:

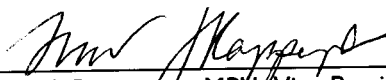
Sponsoring Employer Name and Address: American Lung Association  
1301 Pennsylvania Avenue, NW  
Suite 800  
Washington, DC 20004-1725

Sponsoring Employer Identification Number: 13-1632524

Pursuant to 29 CFR §2520.104-23, we hereby confirm that we maintain a plan or plans primarily for the purpose of providing deferred compensation for a select group of management and highly compensated employees. The number of such plans currently in existence is one (1) and the number of persons in such plan is one (1), namely the former President and CEO, Charles D. Connor.

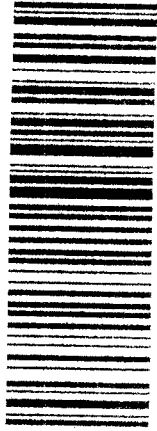
Please acknowledge receipt of this notice by stamping or signing the enclosed copy of this notice and returning it to me in the enclosed envelope.

AMERICAN LUNG ASSOCIATION

By:   
Susan J. Rappaport, MPH, Vice President, Research &  
Health Education (Authorized Signature)

2013 MAY -6 PM 3:01  
ESSAY/PPL LHO DISC01

**CERTIFIED MAIL**



7011 0110 0001 7779 5151

**AMERICAN LUNG ASSOCIATION.**  
Fighting for Air

14 Wall St., Suite 8C  
New York, NY 10005-2113  
RETURN SERVICE REQUESTED

**TO:**

**TURN RECEIPT  
REQUESTED**

Top Hat Plan Exemption Employee Benefits Security  
Administration RM n-1513  
US Dept of Labor  
200 Constitution Ave, NW  
Washington, DC 20210

UNITED STATES POSTAGE  
PITREY BOWES  
\$ 006.77  
02 1P  
0003192863 APR 29 2013  
MAILED FROM ZIP CODE 10005

