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**Certified Article Number**

7160 3901 9849 1994 9777

**SENDERS RECORD**

March 28, 2013

**CERTIFIED MAIL RETURN RECEIPT REQUESTED**

Top Hat Plan Exemption  
Employee Benefits Security Administration  
Room N-5644  
U.S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, D.C. 20210

2013 APR -5 PM 11:07

**Re: University of the Southwest 457(b) Deferred Compensation Plan**

Dear Sir or Madam:

On behalf of University of the Southwest (the "University"), we are filing this notice pursuant to Department of Labor Regulation § 2520.104-23.

1. The University maintains the University of the Southwest 457(b) Deferred Compensation Plan (the "Plan") primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.
2. The University's address is 6610 N. Lovington Hwy, Hobbs, NM 88240.
3. The University's Employer Identification Number is 85-0164940.
4. The University maintains one top-hat plan, which is the Plan to which this statement relates.
5. The Plan currently covers one (1) employee.

A copy of the Plan is available upon request. Please feel free to call if you have any questions or concerns.

Sincerely,

Sarah Fry

cc: Dee Mooney

**CONNER  
WINTERS**

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Dallas, Texas 75201-4617

**CERTIFIED MAIL**



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