

FOUNDATION FOR COGNITIVE THERAPY AND RESEARCH

AARON T. BECK, M.D., FOUNDER

ONE BELMONT AVENUE, SUITE 700
BALA CYNWYD, PENNSYLVANIA 19004-1610610-664-3020
FAX: 610-664-4437CERTIFIED MAIL
RETURN RECEIPT REQUESTEDEmployee Benefits Security Administration
Room N-1513
U.S. Department of Labor
200 Constitution Avenue NW
Washington, DC 202102013 JAN 24 PM 2:46
EBSA/UTLH/REGULATORYRe: Notice Under DOL Reg. §2520.104-23 for Exemption for Top Hat Plan
Maintained by the Foundation for Cognitive Therapy and Research dba Beck Institute for
Cognitive Behavior Therapy, EIN No. 232051226

Ladies and Gentlemen:

This letter is intended to constitute a statement meeting the requirements of DOL Reg. §2520.104-23 providing an alternative method of compliance with the reporting and disclosure requirements applicable under the Employee Retirement Income Security Act of 1974, as amended (the "Act") to pension plans for selected employees. The information required under DOL Reg. §2520.104-23 is as follows:

- (1) Employer: Foundation for Cognitive Therapy and Research (the "Employer")
- (2) Address: One Belmont Avenue, Suite 700, Bala Cynwyd, PA 19004
- (3) EIN: 23-2051226

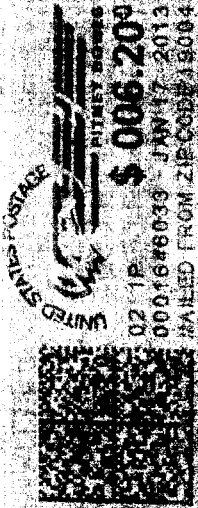
(4) The Employer established a deferred compensation arrangement for Dr. Judith Beck (the "Plan") for the purpose of providing deferred compensation for Dr. Judith Beck, a key executive who is part of a select group of management and highly compensated employees. The Plan was established on July 1, 1995. Under the Plan, benefits are funded as needed solely from the general assets of the employer and are provided through insurance contracts or policies issued by an insurance company or similar organization which is qualified to do business in New York. The Employer maintains no other plans providing nonqualified deferred compensation benefits.

(5) The Plan covers one employee.

(6) The Plan Administrator, will provide to the Department of Labor any plan documents relating to the Plan upon request as required by section 104(a)(1) of the Act.

Very truly yours,

Julie Hergenrath, PhD
Plan AdministratorBy: 



One Belmont Avenue, Suite 700
Bala Cynwyd, PA 19004-1610

U.S. Department of Labor
Employee Benefits Security Administration
TOP HAT Plan Exemption
200 Constitution Ave, NW N-1513
Washington, DC 20210



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