

2520130090104

December 17, 2012

Top Hat Plan Exemption
Employee Benefits Security Administration
Room N-1513
United States Department of Labor
200 Constitution Avenue, N.W.
Washington, DC 20210

2012 DEC 27 PM 4:28
GCA/FILED 012012

Re: Deferred Compensation Arrangement
for Robert Walton
Employer: Claremont University Consortium
Employer EIN: 95-4786748

To the Administration:

Enclosed are an original and one copy of the statement described in Department of Labor Regulations Section 2520.104-23(b)(1) for the above-referenced "top-hat" plan. Please acknowledge receipt by date-stamping the enclosed copy and returning it in the envelope provided.

Please contact the undersigned if there are questions.

Very truly yours



Amiram J. Givon
Attorney for Plan Administrator

Enclosures

cc: Bonnie Clemens (via email, with enclosure)
Robert Wexler (via email, with enclosure)

CLAREMONT UNIVERSITY CONSORTIUM



EXECUTIVE OFFICE

December 14, 2012

Top Hat Plan Exemption
Employee Benefits Security Administration
Room N-1513
United States Department of Labor
200 Constitution Avenue, N.W.
Washington, DC 20210

Re: Deferred Compensation Arrangement for Robert Walton

To the Administration:

This letter constitutes a statement described in Department of Labor Regulations Section 2520.104-23(b)(1) for the above-referenced plan:

(1) The name and address of the employer is:

Claremont University Consortium
101 South Mills Avenue
Claremont, CA 91711-5053

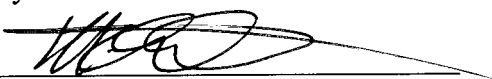
(2) The employer's EIN assigned by the Internal Revenue Service is 95-4786748.

(3) The employer maintains one plan primarily for the purpose of providing deferred compensation for a select group of its management or highly compensated employees.

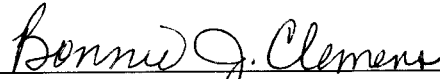
(4) The name of the plan is the Deferred Compensation Arrangement for Robert Walton.

(5) The number of employees in the plan is one.

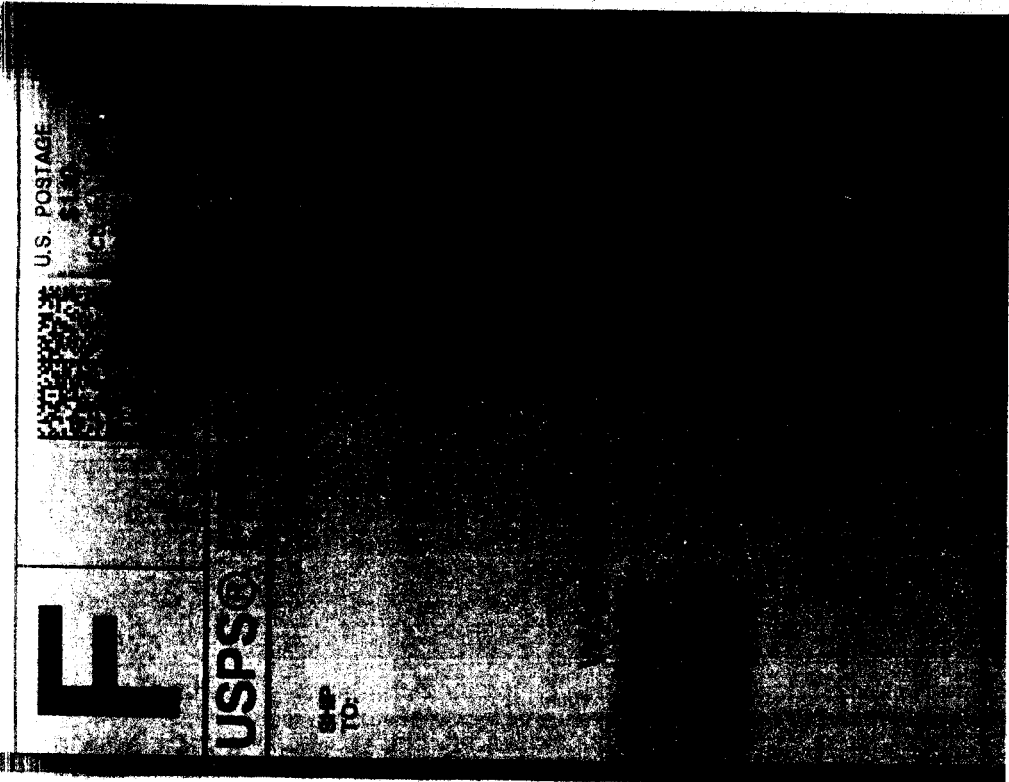
Claremont University Consortium
Employer and Plan Administrator

By: 
[M. L. Dinkel]

Its: Executive Vice President/COO

By: 
[Bonnie J. Clemens]

Its: Secretary to the Board



LAW PARTNERS LLP

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