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EBSA/PUBLIC DISCLOSURE

2012 DEC -3 PM 2:41

THE HELM GROUP

November 16, 2012

2283 Route 20 East
PO Box 750
Freeport, Illinois
61032

Tel 815 235 0990
Fax 815 235 2219

VIA CERTIFIED MAIL - RETURN RECEIPT REQUESTED

Secretary of Labor Hilda L. Solis
Top Hat Plan Exemption
Employee Benefits Security Administration
Room N-1513
U.S. Department of Labor
200 Constitution Avenue NW
Washington, D.C. 20210

Re: Helm Group, Inc., Nonqualified Deferred Compensation Plan

Dear Secretary Solis:

Pursuant to Section 2520.104-23 of the Department of Labor's Regulations, this letter will serve as notice that, with respect to the Helm Group, Inc., Nonqualified Deferred Compensation Plan (the "Plan"), the undersigned intends to use the alternative form of compliance with the reporting and disclosure requirements of Part 1 of Title I of the Employee Retirement Income Security Act of 1974 (ERISA), which alternative form of compliance is provided in the aforesaid Regulations Section.

Pursuant to Regulations Section 2520.104-23(b), the following information is provided:


1. **Name and Address of Employer:** Helm Group, Inc., 2283 U.S. Business Route 20 East, Freeport, Illinois 61032
2. **Employer's EIN:** 36-3065097
3. The Employer hereby declares that it maintains the Plan primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees and there are currently two employees covered by the Plan.

Pursuant to Regulations Section 2520.104-23(b)(2), the Employer will provide Plan documents to the Secretary of Labor upon request as required by Section 104(a)(1) of ERISA.

Very truly yours,

HELM GROUP, INC.

By:

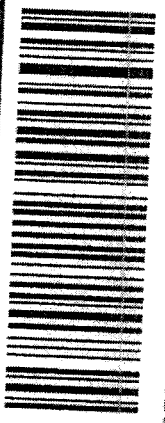

Brian Helm, President and CEO

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WASHINGTON, DC 20210

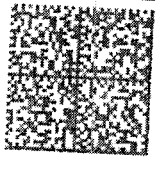
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