

**W. M. KECK OBSERVATORY**  
On the summit of Mauna Kea, Island of Hawai'i

2520122140884

November 15, 2012

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EBSA/PUBLIC INFORMATION

**Via Certified Mail – Return Receipt Requested**

United States Department of Labor  
Employee Benefits Security Administration  
Top Hat Plan Exemption  
200 Constitution Avenue, NW  
Room N-1513  
Washington, DC 20210

Re: Alternative Reporting and Disclosure Statement for the California Association for Research in Astronomy dba W. M. Keck Observatory 457(b) Tax Deferred Plan


This statement is being provided pursuant to Department of Labor Regulation section 2520.104-23 as the alternative method of compliance with the reporting and disclosure requirements of Part I of Title I of the Employee Retirement Income Security Act of 1974 for an unfunded plan maintained for a select group of management or highly compensated employees. In accordance with the regulation, W. M. Keck Observatory is providing the following information:

Sponsoring Employer Name and Address:	California Association for Research in Astronomy dba W. M. Keck Observatory 65-1120 Mamalahoa Hwy Kamuela, HI 96743
Sponsoring Employer Identification Number:	95-3972799
Number of Plans:	1
Name of Plan(s):	California Association for Research in Astronomy 457(b) Tax Deferred Plan
Number of Participants:	12

The employer maintains the Plan primarily for the purpose of providing deferred compensation for a select group of management and highly compensated employees.

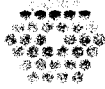
W. M. Keck Observatory

By:

  
Chief Financial Officer

Tel: 808.885.7887 • Fax: 808.885.4464

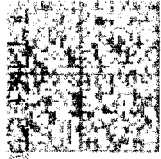
Headquarters: 65-1120 Mamalahoa Highway, Kamuela, HI 96743 [www.keckobservatory.org](http://www.keckobservatory.org)



W. M. KECK OBSERVATORY



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