



WILLAMETTE

THE FIRST UNIVERSITY IN THE WEST

900 STATE STREET
SALEM, OR 97301

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ERISA/PUBLIC DISC

2012 SEP 27 PM 12:05

September 19, 2012

Top Hat Plan Exemption
Employee Benefits Security Administration
Room N-1513
U.S. Department of Labor
200 Constitution Avenue, NW
Washington, DC 20210

To Whom It May Concern:

The purpose of this filing is to comply with the reporting and disclosure requirements of Part I of Title I of ERISA with respect to an unfunded or insured pension plan maintained for a select group of management or highly compensated employees. This filing is intended to comply with DOL Reg. 2520.104-23.

This Plan, the *Willamette University 457(b) Plan*, is maintained by Willamette University, whose full address is 900 State Street, Salem, OR 97301. The employer identification number (EIN) assigned by the Internal Revenue Service is 93-0386972.

The plan is maintained primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees. The number of deferred compensation plans maintained by the employer is one (1) in which there is currently only one participating employee. The eligibility group numbers eleven (11). In accordance with Section 104(a) (1) of ERISA, the employer will provide Plan documents to the Secretary of Labor upon request.

Sincerely,

Keith Grimm
Director of Human Resources
Plan Administrator



WILLAMETTE

WILLAMETTE UNIVERSITY
OFFICE OF HUMAN RESOURCES
900 STATE STREET
SALEM, OR 97301

RETURN SERVICE REQUESTED

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