



SMUSM

Office of the Vice President for Business and Finance

2520122140562

EBSA/PUBLIC DISCLOSURE

2012 SEP 20 AM 11:55

September 13, 2012

Top Hat Plan Exemption
Employee Benefits Security Administration
Room N-1513
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, D.C. 20210

Dear Sir or Madam:

On May 17, 2012, a deferred compensation agreement ("Agreement") was entered into by Southern Methodist University ("Employer") and Timothy R. Jankovich.

On May 18, 2012, a deferred compensation agreement ("Agreement") was entered into by Southern Methodist University ("Employer") and Larry H. Brown.

On August 13, 2012, a deferred compensation agreement ("Agreement") was entered into by Southern Methodist University ("Employer") and Richard L. Hart.

Set forth below is a top hat plan filing for these Agreements in accordance with the procedures described in 29 C.F.R. § 2520.104-23:

1. Name and Address of Employer
Southern Methodist University
P. O. Box 750261
Dallas, TX 75275-0261
2. Employer Identification Number
75-0800689
3. Employer Statement
The Employer maintains the Agreements primarily for the purpose of providing deferred compensation for a management or highly compensated employee.
4. Number of Top Hat Pension Plans and the Number of Employees in Each
The Agreements cover 3 employees. The Employer presently has 1 other top hat pension plan that covers 49 employees.

The Employer does not necessarily concede that these Agreements are "employee benefit plans" within the meaning of ERISA, but is filing the informational statement with the Department of Labor out of an abundance of caution.

If you need any additional information, please contact me at 214-768-1178 or John O'Connor at 214-768-2011.

Sincerely,

A handwritten signature in cursive script that reads "Chris Regis". The signature is written in black ink and is positioned above the printed name.

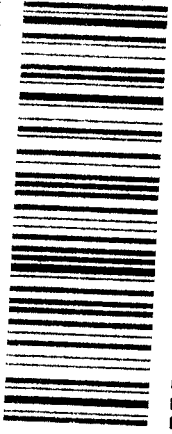
Chris Regis

Vice President Business and Finance



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Southern Methodist University
Tax Department
PO Box 750261 Dallas TX 75275-0261



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