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MHA-NYC  
Innovations in Mental Health

ERISA/PUBLIC INFORMATION  
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August 15, 2012

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**CERTIFIED MAIL**  
**RETURN RECEIPT REQUESTED**

Top Hat Plan Exemption  
Employee Benefits Security Administration  
Room N-1513  
United States Department of Labor  
200 Constitution Avenue, N.W.  
Washington, D.C. 20210

Re: Reporting and Disclosure Compliance Statement

Dear Sir/Madam:

Name of Tax-Exempt Employer:  
Address of Employer:  
E.I.N.:

Mental Health Association of New York City, Inc.  
50 Broadway, 19<sup>th</sup> Floor, New York, NY 10004  
13-2637308

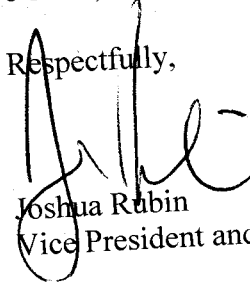
The Mental Health Association of New York City, Inc. (the "Employer") adopted the 457(f) deferred compensation plan (the "Plan") on June 22, 2012, effective as of June 1, 2012. The Plan is intended to constitute an unfunded deferred compensation plan for a select group of management or highly compensated employees (a "top-hat plan").

This letter shall constitute a compliance statement on behalf of the Organization pursuant to Section 2520.104-23 of the DOL Regulations regarding an alternative form of compliance with the reporting and disclosure requirements of Part I of ERISA for top-hat plans.

Please note that benefits under the Plan are paid from the general assets of the Organization. Currently only one senior-executive will be covered under the Plan.

If you require any additional information, please feel free to contact our counsel, Susan E. Bernstein, Esq. at (212) 756-2056, or the undersigned.

Respectfully,



Joshua Rubin  
Vice President and COO

cc: Susan Bernstein, Esq.

MHA-NYC

MHA-NYC, inc.  
50 Broadway, 19th Floor  
New York, NY 10004

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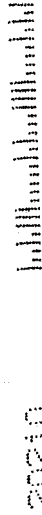
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