



CHRONICLE BOOKS

2012 AUG 23 PM 3:15

July 18, 2012

U.S. Department of Labor  
 Employee Benefits Security Administration  
 Top Hat Plan Exemption  
 200 Constitutional Avenue, NW, N-1513  
 Washington, DC 20210

Re: **Chronicle Books Nonqualified Deferred Compensation Plan**

Dear Sir/Madam:

In accordance with the Delinquent Filer Voluntary Compliance Program and 29 CFR 2520.104-23, on behalf of the Chronicle Books ("Employer"), and pursuant to the original establishment of the Chronicle Books Nonqualified Deferred Compensation Plan ("Plan"), we hereby provide you with the information set forth below:

**Name and Address of Company:**

Chronicle Books  
 680 Second Street  
 San Francisco, CA 94107

**Employer's Taxpayer Identification Number:**

94-3347649

**Required Declaration:**

The Employer sponsors the Plan, which has the effect of deferring compensation for a select group of management or highly compensated employees. Benefits are paid out of the general assets of the Employer.

Currently, Employer maintains one (1) nonqualified plan. There are 18 employees eligible to participate in all nonqualified plans maintained by the Employer. Specifically, the Plan has eight (8) employees participating. The Plan's original effective date is February 8, 2001 with an amendment and restatement effective date of January 1, 2008.

If you have any questions about this matter, please contact the undersigned.

Sincerely,

Todd Presley, Executive Director of Human Resources

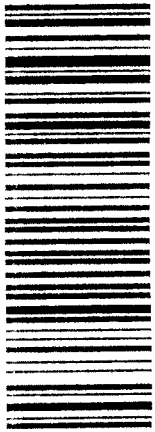
VIA CERTIFIED MAIL RETURN RECEIPT REQUESTED

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**WYDEFERRAL™**

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**Important Personal & Confidential  
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