



University of Oxford

North American Office

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2012 JUL -3 PM 3:08

USA/PENSION/PROV.

ERISA STATEMENT

Deferred Compensation Plans – Top Hat Plan Exemption (ie: 457(b) and 457(f) or 409A Plans)

31 July 2012

Top Hat Plan Exemption
Employee Benefits Security Administration
Room N-1513
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, D.C. 20210

Re: Top Hat Plan Exemption

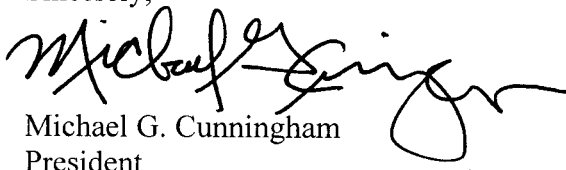
Dear Secretary:

The purpose of this letter is to provide alternative single filing compliance with reporting and disclosure requirements regarding Non Qualified Top Hat Plans under Part 1 of Title I of the Employee Retirement Income Security Act of 1974 (ERISA). Pursuant to Regulation Section 2520.104-23(b), we provide the following information:

1. Employer Name: Oxford University Development (North America), Inc.
2. Employer Address: 500 Fifth Avenue, 32nd Floor, New York, NY 10110
3. Employer EIN: 13-3497066
4. The Plan is maintained primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.
5. Number of Plans: One
6. Number of Employees in each Plan(s): Five

The Employer will provide plan documents, if any, to the Secretary upon request as required by Section 104(a)(1) of ERISA.

Sincerely,


Michael G. Cunningham
President

From: (212) 377-4909
Sue Van Liew
Oxford University Development NA
500 Fifth Ave.
32nd Floor
New York, NY 10110

Origin ID: NYCA



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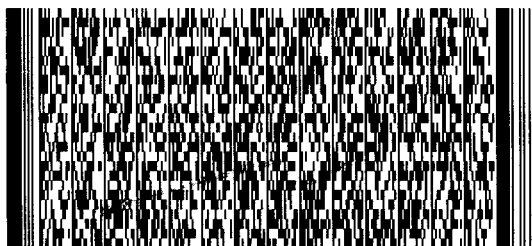
**Top Hat Plan Exemption
Employee Benefits Security Admin.
US Dept. of Labor, Room N-1513
200 Constitution Avenue, N.W.
WASHINGTON, DC 20210**

Ref #
Invoice #
PO #
Dept #

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STANDARD OVERNIGHT**

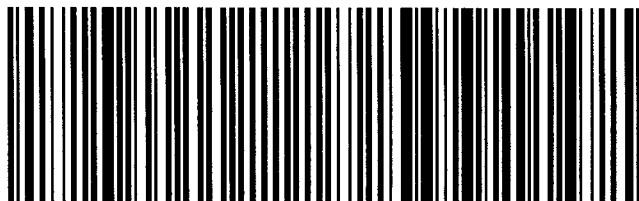
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