



ENRICHING YOUR LIVES
SINCE 1977

1016 Lincoln Boulevard
Suite 205
San Francisco, CA
94129

Mailing Address:
P.O. Box 29250
San Francisco, CA
94129-0250

(415) 856-0939
(415) 856-0949 Fax

www.guardsmen.org

Date: June 4, 2012

Top Hat Plan Exemption
Employee Benefits Security Administration
Room N-1513
U.S. Department of Labor
200 Constitution Avenue NW
Washington, D.C. 20210

Re: Top Hat Plan Exemption


Dear Secretary:

Pursuant to Department of Labor Regulation §2520.104-23(b), I hereby report that the Employer maintains the plan(s) identified below primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees. The assets of the plan(s) identified below are held by the Employer and are subject to the Employer's general creditors.

- 1. Employer Name: The Guardsmen
- 2. Employer Address: 1016 Lincoln Blvd., Suite 205, San Francisco, CA 49129
- 3. Employer EIN: 94-1196194
- 4. Number of Plan(s): One
- 5. Number of Employees in each Plan: Two

The Employer will provide plan documents, if any, to the Secretary upon request as required by ERISA §104(a)(6).

Sincerely

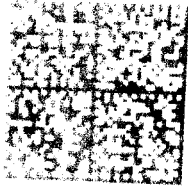

Jerry Brooner
President

2012 JUN 13 PM 12:08
EBSA/PUBLIC DISCU



ENRICHING YOUNG LIVES
SINCE 1947

THE GUARDSMEN
P.O. Box 29250
San Francisco, CA 94129-0250



POSTAGE
\$ 00.45
1 1 20
1 1 20
1 1 20

Top Hat Plan Exemption
Employee Benefits Security Administration
Room N-1513
U.S. Department of Labor
200 Constitution Avenue NW
Washington, D.C. 20210

20210

