



503.797.7222 | www.portlandoic.org

Portland Opportunities Industrialization Center, Inc.  
717 N. Killingsworth Court, Portland, OR 97217

October 20, 2011

Top Hat Plan Exemption  
Employee Benefits Security Administration  
Room N-1513  
U.S. Department of Labor  
200 Constitution Avenue NW  
Washington, D.C. 20210

ERISA/PUBLIC DISCLOSURE  
2012 JAN 31 PM 12:52

**Board of Directors**

John Stilwell – Board Chair  
Paul Marrs – Vice Chair  
Rosemary Anderson  
Kristen Caldwell  
Marc Dooiey  
Cathi Howell  
Geoff Kane  
Sally Leisure, JD  
Joe Nunn  
Ed C. Stallworth, Jr.  
Brian Tacker  
Lisa Zauner

**Advisory Board**

Marc Alexander  
Peter C. Andrews  
Ashley Campion  
Michael Crabbe  
Annette Cragg  
Nicholas R. Ehlen  
Connie Greenblatt  
MJ Hamaker  
Cary Jackson  
Grant Jones  
Selby Key  
Heather Killough  
Bruce Ramseyer  
Ed Sherman  
Tiffany Stark  
Marcia Stilwell  
Kristen Valente  
Wendy Warren

Joe McFerrin II  
President/CEO

Re: Top Hat Plan Exemption

Dear Secretary:

Pursuant to Department of Labor Regulation Section 2520.104-23(b), I hereby report that the Employer maintains the plan(s) identified below primarily for the purpose of providing deferred compensation for a select group of management or highly compensation for a select group of management of highly compensated employees. The assets of the plan(s) identified below are held by the Employer and are subject to the Employer's general creditors.

1. Employer Name: Portland Opportunities Industrialization Center
2. Employer Address: 717 N. Killingsworth Ct., Portland, OR 97217
3. Employer EIN: 93-0593858
4. Number of Plan(s): 2
5. Number of Employees in each Plan: 1, 30

The Employer will provide plan documents, if any, to the Secretary upon request as required by Section 104(a)(6) of ERISA.

Sincerely,

Joe McFerrin II  
President/CEO

