



January 3, 2012

EBSA/PUBLIC DISCLOSURE

2012 JAN 18 PM 3:50

**VIA CERTIFIED MAIL**  
**RETURN RECEIPT REQUESTED**

Top Hat Plan Exemption  
Employee Benefits Security Administration  
U.S. Department of Labor  
200 Constitution Avenue, NW  
Room N-1513  
Washington, D.C. 20210

Re: UL LLC Top Hat Plan

Dear Sir or Madam:

The purpose of this letter is for UL LLC (the "Employer"), as the employer with respect to the arrangements identified below, to file a statement with the Secretary of Labor in accordance with Department of Labor Regulation §2520.104-23. This regulation sets forth the alternative method of compliance with the reporting and disclosure requirements of Part 1 of Title I of the Employee Retirement Income Security Act of 1974, as amended, for an unfunded or insured pension plan maintained by an employer for a select group of management or highly compensated employees (a "top hat plan").

The address and employer identification number of the Employer are as follows:

UL LLC  
333 Pfingsten Road  
Northbrook, IL 60062  
EIN: 94-3282454

On **January 1, 2012**, the UL Non-Qualified Deferred Compensation Plan became effective, the primary purpose of which is to provide deferred compensation to select members of management or highly compensated employees. As of the date of this letter, one (1) employee of the Employer and its subsidiaries is eligible to participate in such arrangement. If you have any questions or require additional information in connection with this matter, please contact me at the number listed above.

Sincerely,

UL LLC

By: 

Title: SVP - Human Resources

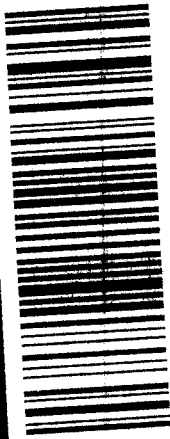
Attachment

333 Pfingsten Road  
Northbrook, IL 60062  
847-272-8800



Underwriters Laboratories  
333 Pfingsten Road  
Northbrook, IL 60062-2096 U  
ADDRESS SERVICE REQUEST

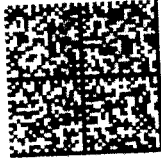
**CERTIFIED MAIL™**



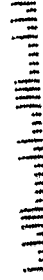
7007 1490 0001 4822 7626

**PERSONAL/CONFIDENTIAL**

UNITED STATES POSTAGE  
FITNEY BOWES  
\$ 05.59<sup>00</sup>  
02 1R  
0006553744 JAN 13 2012  
MAILED FROM ZIP CODE 60062



Top Hat Plan Exemption  
Employee Benefits Security Administration  
US Department of Labor  
200 Constitution Ave, NW  
Room N-1513  
Washington, DC 20210



20210