

EBSA/PUBLIC DISCLOSURE

2012 JAN 12 PM 2:16



THE
VAUGHN
GROUP
INC
.....
Insurance & Financial Services

F A X T R A N S M I T T A L

E-Mail Address: kwajda@vaughngroup.com

1407 E. Robinson St. • PO Box 532017 • Orlando • FL • 32853-2017 • Ph. (407) 898-3911 • Fax (407) 895-0857

DATE: January 12, 2012

2520120310061

ATTN: Delores Dews
Dept of Labor; Public Disclosure
(202) 693-8681 Phone

FAX #: 202-501-4098
No. Pages including this page: { 2 }

FROM: Kim Wajda
Assistant to Hardy Vaughn, CLU, ChFC, MFS

Re: Top Hat Plan Exemption Letter
Five Arrows, Inc. d/b/a Service Painting Corporation
Tax ID # 59-3383861

Dear Delores,

It was nice speaking with you this morning and thanks for your assistance. I really appreciate it! As discussed, please find a copy of the Top Hat letter which was sent to the DOL on February 24, 2000. It was brought to our attention recently, by The Pangburn Group, who's been administering this SERP since it was implemented, that this letter was not found in the Top Hat database while they were doing a search. We all thought we were in compliance all these years.. This is why I contacted you today, to try and get this matter resolved.

The employer mailed this letter directly on 02/24/2000 and faxed a copy to our office and to The Pangburn Company on March 6, 2000. (As you can see the date of the fax is located on the top of our copy)

If you have any questions or concerns, please call me at (407) 898-3911.

Thank you!

Sincerely,

Kim Wajda

IF YOU HAVE A PROBLEM RECEIVING, OR IF YOU DO NOT RECEIVE ALL OF THE PAGES NOTED ABOVE, PLEASE CONTACT Kim Wajda AT 407-898-3911.

IMPORTANT NOTICE: THIS INFORMATION IS CONFIDENTIAL AND PRIVILEGED AND MAY BE PROTECTED BY FEDERAL LAW. The documents accompanying this transmission may contain confidential financial and/or health care information that is legally privileged, proprietary, confidential and exempt from disclosure under applicable law. This and all accompanying documents are intended for the above-named individual or entity only. The recipient of this document is prohibited from disclosing its contents and is required by law to destroy this information once authorized fulfillment is complete. If the recipient or reader of this communication is not the intended recipient, or the employee or agent responsible for the delivery of the communication to the intended recipient, you are hereby notified that any use, application, revelation, disclosure, dissemination, distribution or copying of this communication is strictly prohibited. If you have received this communication in error, please notify us immediately by telephone, destroy all copies and return the original communication to us at the above address via mail or courier delivery. Thank you.



Service Painting Corporation

Five Arrows, Inc.
d/b/a Service Painting Corporation

Department of Labor Notification Letter

To: Top Hat Plan Exemption
Pension and Welfare Benefits Administration Room N-5638
U.S. Department of Labor
200 Constitution Avenue NW
Washington, D.C. 20210

From: Five Arrows, Inc. d/b/a Service Painting Corporation
Employer Identification Number : 59-3383861
1423 W. Long Street
Orlando, Florida 32805

Date: February 24, 2000

This document constitutes the statement required by 29 C.F.R. Sec. 2520 104-23 (a)(1) to be filed with the Secretary of Labor in respect to a Non-Qualified Deferred Compensation Plan maintained by the labor employer.

The employer currently maintains 1 Non-Qualified Deferred Compensation Plan for employees who are members of a select group of management or who are highly compensated. There are currently 4 participants in the plan. A copy of the plan document will be furnished upon request.

Respectfully submitted,

Todd Monroe
Treasurer

cc: The Vaughn Group, Inc.
The Pangburn Company

1423 W. Long Street
Orlando, FL 32805
(407) 872-7782
Fax: (407) 872-7782

1423 W. Long Street
Orlando, FL 32805
(407) 872-7782
Fax: (407) 872-7782