

STATEMENT PURSUANT TO 29 USC SECTION 1030,
ALTERNATIVE REPORTING AND DISCLOSURE STATEMENT,
TITLE I, PART 1,
EMPLOYEE RETIREMENT INCOME SECURITY ACT OF 1974

SEA RESEARCH FOUNDATION, INC.,
ADMINISTRATOR / EMPLOYER
SEA RESEARCH FOUNDATION, INC. IRC SECTION 457(F) PLAN

Top Hat Plan Exemption
Pension and Welfare Benefits Administration
Room N-5644
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, D.C. 20210

Name and Address of Employer:

Sea Research Foundation, Inc.
55 Coogan Boulevard, Mystic, Connecticut, 06355

Employer Identification Number: 06-1480300

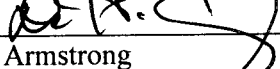
Declaration pursuant to Department of Labor Regulations Section 2520.104-23:

Sea Research Foundation, Inc. ("Employer") hereby declares that Employer maintains the Sea Research Foundation, Inc. IRC Section 457(f) Plan ("Plan") primarily for the purpose of providing deferred compensation for one or more members of a select group of management or highly compensated employees pursuant to Sections 201(2), 301(a)(3), and 401(a)(1) of the *Employee Retirement Income Security Act* of 1974 (ERISA)¹ and IRC Section 414(q). Employer further declares that it is eligible for such alternative reporting and disclosure statement as hereby filed in connection with the Plan on the basis that any and all benefits as may be received under the Plan will be paid, as needed, solely from the general assets of Employer as required by 29 CFR Section 2520.104-23(d)(i).

Statement as to number of such Plans maintained by Employer: One.

Statement as to number of employees participating in such Plans: One.

Very truly yours,
Sea Research Foundation, Inc.

By: 
Denise Armstrong
Executive Vice President, CFO

¹ Pub. L. No. 93-406, 88 Stat. 829 (codified as amended in scattered sections of 5 U.S.C., 18 U.S.C., 26 U.S.C., 29 U.S.C., and 42 U.S.C.).

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Next business day** Thursday
Saturday Delivery NOT available.

Packages up to 150 lbs.

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Packages over 150 lbs.

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Next business day** Friday shipments will
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Other

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Package may be left without
containing a signature for delivery.

Direct Signature
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address may sign for delivery. For
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No
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Shipper's Declaration
not required.

Yes
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Acct. No.

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Credit Card

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Total Weight

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