



**SHIPMAN & GOODWIN** LLP<sup>®</sup>  
COUNSELORS AT LAW

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October 04, 2011

**VIA CERTIFIED MAIL**

Top Hat Plan Exemption  
Employee Benefits Security Administration  
Room N-1513  
U.S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, DC 20210

Re: The Loomis Institute 457(b) Deferred Compensation Plan

Dear Secretary of Labor:

As legal counsel to The Loomis Institute ("Employer"), I hereby notify you in accordance with DOL Regulation §2520.104-23, that the Employer established, in accordance with Board resolutions adopted by its Board of Trustees at its May, 2011 Board meeting, a deferred compensation plan named The Loomis Institute 457(b) Deferred Compensation Plan (the "Plan"), and the Employer will, as the Plan Administrator, utilize the alternative form of compliance with the reporting and disclosure requirements of Part 1 of Title I of the Employee Retirement Income Security Act of 1974 ("ERISA") as set forth in that Regulation. The Plan is effective as of October 1, 2011.

Pursuant to DOL Reg. § 2520.104-23(b), the following information is provided:

1. **Name, Address and Employer**

**Identification Number of the Employer:**

The Loomis Institute  
4 Batchelder Road  
Windsor, CT 06095  
EIN: 06-0653119

ERISA/PUBLIC DISCLOSURE  
2011 OCT 11 PM 4:00

2. The Employer hereby states that it maintains the Plan primarily for the purpose of providing deferred compensation to a select group of management or highly compensated employees. As of the date of this letter, the Plan is being made available to eight employees of the Employer.

Pursuant to DOL Reg. § 2520.104-23(b)(2), the Employer will provide the documents governing the Plan to the Secretary of Labor upon request as required by Section 104(a)(6) of ERISA.

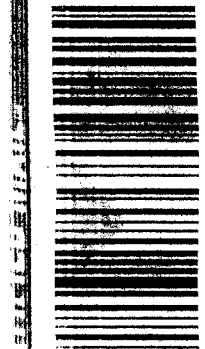
Very truly yours,

A handwritten signature in black ink, appearing to read "Richard I. Cohen". The signature is fluid and cursive, with the first name "Richard" being the most prominent part.

Richard I. Cohen

RIC:jhp

cc: Richard Esposito  
Kelly Hasenbalg  
Richard G. May



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Top Plan Exception  
 Employee Benefits Security Administration  
 Room 513  
 U.S. Department of Labor  
 200 Constitution Avenue, N.W.  
 Washington, DC

