



January 6, 2011

Top Hat Plan Exemption  
 Employee Benefits Security Administration  
 Room N-1513  
 U.S. Department of Labor  
 200 Constitutional Avenue N.W.  
 Washington, D.C. 20210

Dear Sir or Madam:

Pursuant to Department of Labor Regulation 2520.104-23, the following information is being provided regarding a nonqualified Supplemental Executive Retirement Plan sponsored by our organization for a select group of management or highly compensated employees.

1. Name of the employer: Ohnward Bancshares, Inc.
2. Mailing address of the employer: 107 E Quarry, P.O. Box 968 Maquoketa, IA 52060
3. Employer's Federal Identification Number (EIN): 42-0933516
4. Number of plans maintained: 1
5. Number of participants: 1
6. Date plan was implemented: January 1, 2011

We will provide plan documents upon request in accordance with ERISA Section 104(a)(1).

Please contact us if you have any questions on any of the above information.

Sincerely,

Ohnward Bancshares, Inc.

By:

Alan L. Tubbs  
 Plan Administrator

107 E Quarry, P.O. Box 968 Maquoketa, Iowa 52060

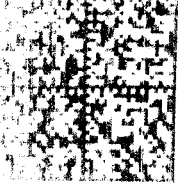
Phone 563/652-7822 Fax 563/652-0106

[www.ohnward.com](http://www.ohnward.com) Email: [atubbs@ohnward.com](mailto:atubbs@ohnward.com)

**Ohnward**

*Bancshares Inc*

107 E. Quarry, PO Box 968  
Maquoketa, IA 52060



000449

US POSTAGE

Top Hat Plan Exemption  
Employee Benefits Security Administration  
Room N-1513  
U.S. Department of Labor  
200 Constitutional Avenue N.W.  
Washington, D.C. 20210

