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EBSA/PUBLIC DISCLOSURE

2010 JUL 22 AM 8: 01

Suite 730
1400 16th Street, NW
Washington, DC 20036
Phone: 202.462.1676
Fax: 202.232.7161

July 20, 2010

Top Hat Plan Exemption
Employee Benefits Security Administration
Room N-1513
U.S. Department of Labor
200 Constitution Avenue, NW
Washington, D.C. 20210

Re: Top-Hat Statement for the Associated Universities, Inc. 457(b) Deferred Compensation Plan

Dear Sir or Madam:

Associated Universities, Inc. (the "Employer"), hereby declares that it has adopted the Associated Universities, Inc. 457(b) Deferred Compensation Plan (the "Plan"). The Plan is an unfunded plan maintained for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

Name and Address of
Tax-Exempt Employer:

Associated Universities, Inc
1400 16th Street, NW, Ste 730
Washington, DC 20036

Employer's E.I.N.:

11-163900

11-1630900

Number of unfunded top-hat plans
described in DOL Regulation
Section 2520.104-23(b):

One

The number of employees currently
eligible to participate in this Plan are:

Nine

The number of eligible employees
currently covered under this Plan is:

One

Date:

07/20/10

By:

Title:

AUI Vice President - Administration

From: Origin ID: JPNA (202) 462-1676
Corporate Office
ASSOCIATED UNIVERSITIES, INC
1400 16TH ST. NW
SUITE 730
WASHINGTON, DC 20036



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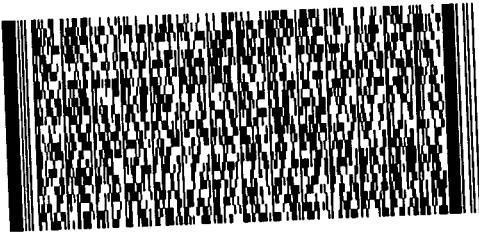


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SHIP TO: (999) 999-9999 **BILL SENDER**
Employee Benefits Security Admin
Top Hat Plan Exemption
Room N 1513 US Dept of Labor
200 Constitution Ave NW
Washington, DC 20210

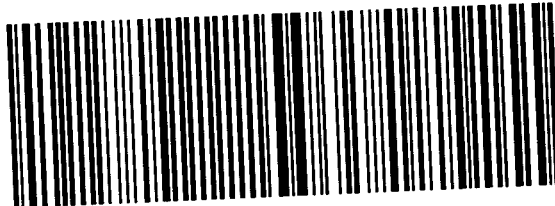
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