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The J. Gladstone Institutes  
43 Corporate Park, Suite 102  
Irvine, CA 92606-5137

EBSA/PUBLIC DISCLOSURE  
2009 NOV 12 AM 8:07

November 3, 2009

**CERTIFIED MAIL**  
**RETURN RECEIPT REQUESTED**

Top Hat Plan Exemption  
Pension and Welfare Benefits Administration  
Room N-5644  
U.S. Department of Labor  
200 Constitution Avenue NW  
Washington, D.C. 20210


Re: Top Hat Filing for The J. Gladstone Institutes 457(b) Deferred Compensation Plan (the "457(b) Deferred Compensation Plan")

Dear Sir or Madam:

In accordance with Department of Labor Regulation § 2520.104-23, The J. Gladstone Institutes (the "Institutes") is hereby availing itself of the alternative method of compliance with the reporting and disclosure requirements of Part 1 of Title I of ERISA, with respect to the 457(b) Deferred Compensation Plan.

The Institutes' address is set forth above and its EIN is 23-7203666. The Institutes currently maintains one plan primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees, namely, this 457(b) Deferred Compensation Plan. The 457(b) Deferred Compensation Plan currently covers 37 employees.

The J. Gladstone Institutes

By:   
Name: Richard Hille  
Title: Executive Director

cc: Jay Fenster, Esq.

POSTNET MAIL



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**THE J. DAVID GLADSTONE INSTITUTES**

**ADMINISTRATION**

**GLADSTONE INSTITUTE OF  
CARDIOVASCULAR DISEASE**

**GLADSTONE INSTITUTE OF  
VIROLOGY AND IMMUNOLOGY**

**GLADSTONE INSTITUTE OF  
NEUROLOGICAL DISEASE**

1650 Owens Street  
San Francisco  
CA 94158

University of  
California  
San Francisco

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