

HEAD AND NECK SURGICAL ASSOCIATES, P.C. EBSA/PUBLIC DISCLOSURE
1849 N.W. Kearney, Suite 300
Portland, OR 97209

2009 AUG -7 AM 7:08

Top Hat Plan Exemption
Employee Benefits Security Administration
Room N-1513
U.S. Department of Labor
200 Constitution Avenue NW
Washington, DC 20210

Re: Top Hat Plan Exemption Notice

To the Secretary of Labor:

In compliance with the requirements of the alternative method of reporting and disclosure under Part 1 of Title I of the Employee Retirement Income Security Act of 1974 for unfunded pension plans for a select group of management or highly compensated employees, specified in Department of Labor Regulations, 29 C.F.R. § 2520.104-23, the following information is provided by the undersigned employer.

Name and Address of Employer: Head and Neck Surgical Associates, P.C.
1849 N.W. Kearney, Suite 300
Portland, OR 97209

Employer Identification Number: 91-1164544

Head and Neck Surgical Associates, P.C. maintains a plan primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

Number of Plans: One Plan

Participants in the Plan: Three employees

Dated July 22, 2009.

HEAD AND NECK SURGICAL ASSOCIATES, P.C.

By: 
Jane Potter, Plan Administrator

Head & Neck Surgical Associates
1849 NW Kearney St., Suite 300
Portland, OR 97209

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