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EBSA/PUBLIC DISCLOSURE

2009 JUL 21 PM 3:19

July 13, 2009

United States Department of Labor
Pension and Welfare Benefits Administration
Top Hat Plan Exemption
200 Constitution Avenue, N.W., N-1513
Washington, D C 20210

RE: Alternative Reporting and Disclosure Statement for Unfunded Nonqualified Deferred Compensation Plans for Certain Selected Employees

This statement is being provided pursuant to Department of Labor Regulation 2520.104-23 as the alternative method of compliance with the reporting and disclosure requirements of Part I of Title I of the Employee Retirement Income Security Act of 1974 for unfunded plans for a select group of management or highly compensated employees. In accordance with said Regulation, Aspen National Financial, Inc. is providing the following information:

Sponsoring Employer Name and Address: Aspen National Financial, Inc.
827 Rood Avenue
Grand Junction, Co 81501

Sponsoring Employer Identification Number: 84 - 1540734

Number of Plans: 1

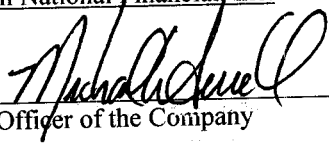
Name of Plan: Aspen National Financial, Inc. "Supplemental Retirement Plan"

Number of Participants: 5

The Employer maintains the Plan primarily for the purpose of providing deferred compensation for a select group of management and highly compensated employees.

Please acknowledge receipt of this notice by stamping or signing the enclosed copy of this notice and returning it to me in the enclosed envelope.

Aspen National Financial, Inc.

By: 
Officer of the Company



827 Rose Avenue
Grand Junction, CO 81501
RETURN SERVICE REQUESTED

United States Dept of Labor
Pension and Welfare Benefits Administration
Top Hat Plan Exemption
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Washington, DC 20210

