



# The First National Bank of Ottawa

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Fax (815) 434-0307  
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24-Hr Phone Banking 1-866-434-0044



701 LaSalle St Ottawa IL 61350  
Phone: (815) 434-0044



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**Via Certified Mail**  
**Return Receipt Requested**

Top Hat Plan Exemption  
Employee Benefits Security Administration  
Room N-1513  
U.S. Department of Labor  
200 Constitution Ave, NW  
Washington, DC 20210

Re: "Top-Hat" Statement pursuant to Section 2520.104-23

Dear Sir or Madam:

This letter serves as a statement pursuant to Section 2520.104-23.

Employer's name and address:

The First National Bank of Ottawa  
701 LaSalle St.  
Ottawa, IL 61350

Name of Plans (the "Plans"): See Exhibit A.

Employer's Federal Identification Number: 36-1588745

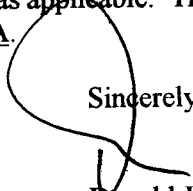
The Employer identified in this statement is the Plan Administrator of each of the Plans listed on Exhibit A and is the entity from whom the Department of Labor may request plan documents.

In addition to the Employer, there is one additional employer in the Employer's controlled group that also maintains one or more of the Plans (the "Participating Employer"). The Participating Employer is:

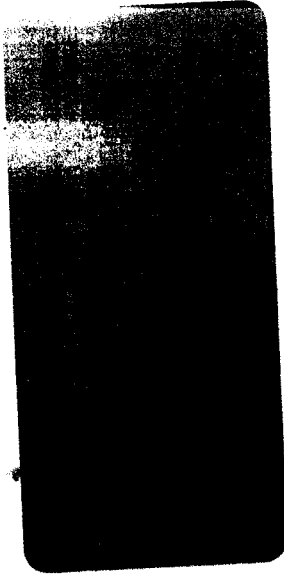
First Ottawa Bancshares, Inc.: 36-4331185

The primary purpose of each of the Plans identified on Exhibit A is to provide deferred compensation to a member of a select group of management or highly compensated employees of the Employer or Participating Employer, as applicable. The number of employees currently covered by the Plans is listed on Exhibit A.

Sincerely,

  
Donald J. Harris  
Chief Operations Officer

| <b><u>Exhibit A</u></b>  |  |   |  |
|--|--|---|--|
| <b>Name of Plan</b>  |  | <b>Participating Employers</b>            | <b>Number of Employees Currently Covered by Plan</b> |
| First Ottawa Bancshares, Inc.<br>Deferred Compensation Plan  |  | Both Employer and Participating Employers | 1  |
| First Ottawa Bancshares, Inc.<br>Executive Deferred Compensation Plan  |  | Both Employer and Participating Employers | 1  |
| First National Bank of Ottawa<br>Supplemental Nonqualified Retirement Agreement for Executive with Pat Fayhee    |  | Employer                                  | 1  |
| First National Bank of Ottawa<br>Supplemental Nonqualified Retirement Agreement for Executive with Steve Gonzalo |  | Employer                                  | 1  |
| First National Bank of Ottawa<br>Supplemental Nonqualified Retirement Agreement for Executive with Vince Easi    |  | Employer                                  | 1  |
| First National Bank of Ottawa<br>Supplemental Nonqualified Retirement Agreement for Executive with Mark Dunavan  |  | Employer                                  | 1  |
| First National Bank of Ottawa<br>Supplemental Nonqualified Retirement Agreement for Director with Jock Brown     |  | Employer                                  | 1  |
| First National Bank of Ottawa<br>Supplemental Nonqualified Retirement Agreement for Director with Donald Harris  |  | Employer                                  | 1  |



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P.O. Box 657  
Ottawa, Illinois 61350

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