

# Ottawa University

Established in 1865

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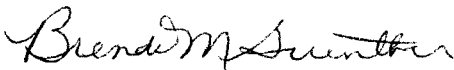
March 13, 2009

Top Hat Plan Exemption  
Pension and Welfare Benefits Administration  
Room N-1513  
U.S. Department of Labor  
200 Constitution Avenue, NW  
Washington, D.C. 20210

Dear Sirs:

Attached is the Top-Hat Statement for Ottawa University's 457b plan adopted December 17, 2008. TIAA-CREF has assisted in the setup of this plan on behalf of Ottawa University. If I have failed to provide anything that you need for this plan, please do not hesitate to call me at 785-229-1082 or email me at [brenda.guenther@ottawa.edu](mailto:brenda.guenther@ottawa.edu).

Sincerely,



Brenda M. Guenther  
Director of Finance / Controller  
Ottawa University  
1001 S. Cedar #20  
Ottawa, KS 66067

Name of Tax-Exempt Employer: OTTAWA UNIVERSITY  
Address of Tax-Exempt Employer: 1001 S. CEDAR #20  
OTTAWA, KS 66067

E.I.N.: 48-0543772

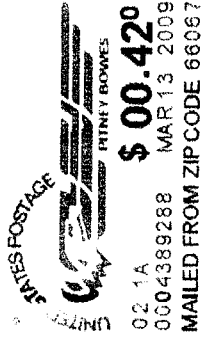
**Top-Hat Statement**  
**By Plan Administrator**

Ottawa University, (the “Employer”), hereby declares that the purpose of the 457(b) Deferred Compensation Plan of Ottawa University (the “Plan”) is to provide deferred compensation primarily for a select group of management and highly compensated employees. The number of employees covered under the Plan is 1 (one). In addition, the Employer maintains 0 (zero) unfunded top-hat plans described in Department of Labor Regulation Section 2520.104-23(b). The number of employees covered under such plans is 0 (zero).

Effective Date: December 17, 2008  
Date: March 12, 2009  
By: Brenda M. Guenther  
Title: Director of Finance / Controller  
(On Behalf of the Plan Administrator)



10-6201



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