

**Top Hat Plan Exemption**

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The attached statement must be filed within 120 days after the plan is adopted (D.O.L. Reg. Sec. 2520.104-23(b)(2)). If you fail to comply with this requirement, the plan must distribute and file a Summary Plan Description and must meet other applicable reporting and disclosure requirements. You will need to review the statement for accuracy, fill in the number of employees covered under the plan, sign and date the statement, and finally, mail the statement to:

U.S. Department of Labor  
Employee Benefits Security Administration  
Top Hat Plan Exemption  
200 Constitution Ave. NW, N-1513  
Washington, DC 20210

U.S. Department of Labor  
Employee Benefits Security Administration  
Top Hat Plan Exemption  
200 Constitution Ave. N.W., N-1513  
Washington, DC 20210

Re: ERISA REPORTING AND DISCLOSURE STATEMENT

To the Secretary of Labor:

In order to comply with the requirements of the alternative reporting and disclosure method under ERISA, Title I, Part 1, as provided for an unfunded or insured pension plan for a select group of management or highly compensated employees in D.O.L. Reg. Sec. 2520.104-23, the following information is provided by the undersigned plan administrator:

The name of the employer is: Villa Esperanza

The employer's mailing address is: 2060 E. Villa Street, Pasadena, CA91106

The employer's federal identification number (EIN) is: 95-2148860

The plans of employer and the number of participants covered in each plan is:

2 - CEO & COO  
457(b) plan  
Jan 1, 2009

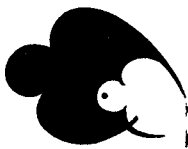
(Specify plan, effective date and number of employees covered)

The above-named employer maintains this plan primarily for the purpose of providing nonqualified deferred compensation benefits to a select group of management or highly compensated employees. The employer will provide a copy of the agreement to the Secretary of Labor upon request.

Employer: Villa Esperanza

By: J. Castello

Date: 2/24/09



VILLA  
ESPERANZA  
SERVICES

*Providing Love, Care, and Hope for Individuals with Disabilities and their*  
[www.VillaEsperanzaServices.org](http://www.VillaEsperanzaServices.org)

2060 EAST VILLA STREET • PASADENA, CALIFORNIA 91107

**CERTIFIED MAIL**<sup>TM</sup>



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U.S. Dept. of Labor  
Employee Benefits Security Adm.  
200 Constitution Ave. NW, N-1513  
Washington, DC 20210