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February 9, 2009

Top Hat Exemption
Employee Benefits Security Administration, Room N-1513
U.S. Department of Labor
200 Constitution Avenue, NW
Washington, D.C. 20210

RE: Employer: Wind River Financial, Inc.
Address: 5305 Buttonwood Dr., Madison, Wisconsin 53718
Employer ID No.: 01-0557284

Dear Sir or Madam:

This document constitutes the statement required by 29 C.F.R. 2520.104-23 to be filed with the Secretary of Labor with respect to certain pension plans maintained by the employer.

Effective December 30, 2008, the employer established a Phantom Stock Incentive Compensation Plan. This plan is maintained primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

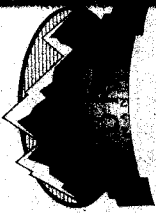
The number of participants in this plan is 3.

Plan documents will be provided upon request in accordance with ERISA Section 104(a)(1).

Very truly yours,

Plan Administrator: Mark J. Courchane
Title: Treasurer
Employer: Wind River Financial, Inc.

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5305 Buttonwood Drive
Madison, Wisconsin 53718

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TOP HAY EXEMPTOR
EMPLOYEE BENEFITS SECURITY ADMIN, ROOM N-1513
U.S. DEPT OF LABOR
200 CONSTITUTION AVE NW
WASHINGTON, D.C. 20210

