

**TOP-HAT PLAN EXEMPTION STATEMENT**

U.S. Department of Labor  
Employee Benefits Security Administration  
Top-Hat Plan Exemption  
200 Constitution Ave., NW, N-1513  
Washington, D.C. 20210

2013 APR 15 10:16:21

Employer Name: Sain Associates, Inc.

Address: 244 West Valley Avenue, Suite 200, Birmingham, Alabama 35209

Employer EIN: 63-0635669

The Employer maintains a Plan (or Plans) primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

Number of Plans: 1

Number of Employees in Plan(s): 1

**FELDHYDE**

**Wertheimer, Bryant & Stone, P.C.**

**A T T O R N E Y S A T L A W**

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