

CATOR, RUMA & ASSOCIATES, Co.

2520090770236

CONSULTING MECHANICAL / ELECTRICAL ENGINEERS

08 NOV 14 PM 3:40

PRINCIPALS

MICHAEL A. MEINTS  
JOHN A. HUGHES  
BRUCE E. APPEL  
JAMES R. LAZZERI  
DENNIS F. RUDKO  
WAYNE A. TRADER  
MARCUS A. VALERILIS

SENIOR ASSOCIATE

SEAN T. CONVERY  
MARK V. JELINSKI  
GERARD W. O'BRIEN

ASSOCIATES

CHARLES A. BOCK  
ALHAMBRO F. INFANTE  
JEFFREY L. JESSE  
GREGG I. McMULLEN  
KEVIN B. MILLER  
ROBERT A. WEBER

ENGINEERING  
SOLUTIONS  
SINCE 1959

Top Hat Plan Exemption  
Employee Benefits Security Administration  
Room N-1513  
U.S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, D.C. 20210

To the Secretary of Labor:

In compliance with the requirements of the alternative method of reporting and disclosure under Part 1 of Title I of the Employee Retirement Income Security Act of 1974 for unfunded or insured pension plans for a select group of key management or highly compensated employees, specified in Department of Labor Regulations, 29 C.F.R. § 2520.104-23, the following information is provided by the undersigned employer.

Name and Address of Employer:

Cator, Ruma and Associates, Co.  
c/o Michael A. Meints, President  
896 Tabor St.  
Lakewood, Colorado 80401-4700

Employer's Federal Tax ID No.: 84-0522935

Cator, Ruma and Associates, Co. maintains a plan primarily for the purpose of providing deferred compensation for one person who is part of a select group of management or highly compensated employees. The Plan was adopted effective November 4, 2008.

By:   
Plan Administrator

CATOR, RUMA & ASSOCIATES, CO.

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896 T LABOR STREET

LAKEWOOD, COLORADO 80403-4400



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