



KENTUCKY DERBY
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Alternative Reporting And Disclosure Statement For Nonqualified Deferred Compensation Plans

To: Top Hat Plan Exemption
Employee Benefits Security Administration
Room N 1513
U.S. Department of Labor
200 Constitution Ave. N.W.
Washington, DC 20210

In compliance with the requirements of the alternative method of reporting and disclosure under Part I of Title I of the Employee Retirement Income Security Act of 1974 for un-funded or insured pension plans for a select group of management or highly compensated employees, specified in Department of Labor Regulations, 29 CFR Sec. 2520.104-23, the following information is provided by the undersigned administrator:

DD 2375

1. The name of the Employer is: Kentucky Derby Festival
2. The mailing address of the Employer is: 1001 S. Third Street
Louisville, KY 40203
3. The Employer Identification Number is: 61-0713833
4. The above named Employer maintains a Plan (or Plans) primarily for the purpose of providing deferred compensation benefits for a select group of management or highly compensated employees.
5. Number of Plans and Eligible Employees in each Plan:
One Plan covering 1 Eligible Employee.
6. The Employer will provide a copy of the agreement(s) to the office of Employee Benefits Security Administration upon request.

DD 2375

Kentucky Derby Festival
A Kentucky Organization
By: *[Signature]*
Authorized Person

Dated: 6.10.08

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Kentucky Derby Festival
A Kentucky Organization

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UPS CampusShip: View/Print Label

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2. **Fold the printed label at the dotted line.** Place the label in a UPS Shipping Pouch. If you do not have a pouch, affix the folded label using clear plastic shipping tape over the entire label.

3. GETTING YOUR SHIPMENT TO UPS


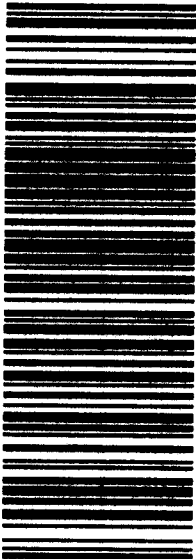

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- o To find the location nearest you, please visit the Resources area of CampusShip and select UPS Locations.

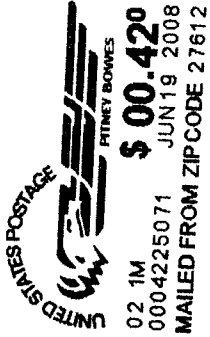
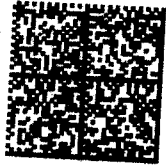
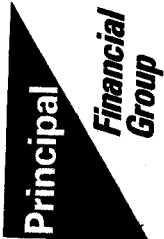
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- o Your driver will pickup your shipment(s) as usual.

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<p>PRINCIPAL 919-881-1617 PRINCIPAL FINANCIAL GROUP 4141 PARKLAKE AVE, STE 400 RALEIGH, NC 27612</p> <p>SHIP TO: EMPLOYEE BENEFITS SECURITY ADMIN. TOP HAT PLAN EXEMPTION 200 CONSTITUTION AVE, N.W. U.S. DEPARTMENT OF LABOR ROOM N 1513 WASHINGTON DC 20210-0001</p>	<p style="text-align: right;">LTR</p> <p style="text-align: right;">1 OF 1</p> <p style="font-size: 2em; font-weight: bold;">MD 201 9-74</p> 	<p style="font-size: 2em; font-weight: bold;">UPS 2ND DAY AIR</p> <p style="font-size: 3em; font-weight: bold;">2</p> <p>TRACKING #: 1Z F53 3V0 02 9960 1692</p> 	<p style="text-align: right;">BILLING: P/P</p> <p style="text-align: right;">Department Name: Client Transition Services Your Name: Lisa Stunzi (RE: KY Derby) CS-10-106</p> <p style="text-align: right; font-size: 8px;">WOODS60 75.0A.01/2008</p>  <p style="text-align: right;">TM</p>
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4141 ParkLake Ave.
Suite 400
Raleigh, NC 27612



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