



**SPECIMEN DEPARTMENT OF LABOR NOTICE LETTER**

Alternative Reporting and Disclosure Statement  
Top Hat Plan Exemption  
Pension and Welfare Benefits Administration  
Room N-5644  
U.S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, D.C. 20210

2520082703210

The following corporation hereby supplies the following information pursuant to Department of Labor Regulations Section 2520.104-23:

Name and Address of Employer:

Schmitt - Sussman Enterprises, Inc.  
34 Prindle Hill Road  
Orange, CT 06477

Employer Identification Number: 06-0895325

The Employer identified above maintains the following plan(s) for a select group of management or highly compensated employees:

[Name of Plan] Schmitt - Sussman 409A Deferred Comp Plan

Number of Participants: 11

[Name of Plan] \_\_\_\_\_

Number of Participants: \_\_\_\_\_

[Name of Plan] \_\_\_\_\_

Number of Participants: \_\_\_\_\_

Very truly yours,  
[Name of Employer]

By 

Title Pres.

Date: \_\_\_\_\_, 20\_\_

08 JUL -2 AM 7:46



34 Prindle Hill Road  
P.O. Box 1500  
Orange, Connecticut 06477



Hasler

J12H16209035

\$00.420

05/15/2008

Mailed From 06477

US POSTAGE

Pension and Welfare Benefits Administration  
Room N-5644  
U.S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, DC 20210

