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February 8, 2008

Top Hat Plan Exemption  
Pension and Welfare Benefit Administration  
Room N-5644  
U.S. Department of Labor  
200 Constitution Avenue N.W.  
Washington, D.C. 20210

RE: Notice of Plan of Deferred Compensation

Pursuant to DOL Reg. Sec. 2520.104-23, the undersigned Employer hereby files the following information with respect to its plan of deferred compensation.

1. Name and Address of Employer:  
  
Gordon Brothers Group, LLC  
101 Huntington Avenue, 10<sup>th</sup> Floor  
Boston, MA 02199
2. Federal Employer Identification No. (EIN): 04-3403041
3. The Employer maintains \_\_\_1\_\_\_ plan of deferred compensation primarily for the purpose of providing deferred compensation to a select group of management or highly compensated employees.
4. \_\_\_\_\_20\_\_\_\_\_ employees are covered by such plan(s).

This notice is being filed in connection with the newly adopted Gordon Brothers Group and Affiliates Deferred Compensation Plan, which is an unfunded plan.

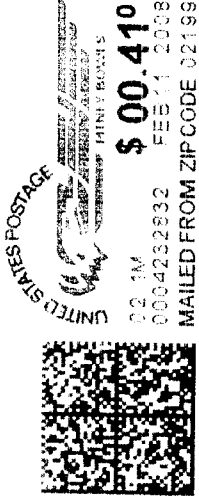


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Signature of Officer

Alan R. Goldstein  
Executive Vice President  
Gordon Brothers Group, LLC

Gordon Brothers Group  
EST. 1903



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