

**BUTLER
UNIVERSITY**

07 AUG 28 PM 1:39

Vice President for Finance

4600 Sunset Avenue
Indianapolis, Indiana 46208-3485
(317) 940-9481
FAX: (317) 940-9970

Top Hat Plan Exemption
Employee Benefits Security Administration
Room N-5644
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, D.C. 20210

RE: Butler University Eligible Deferred Compensation Plan

Dear Sir or Madam:

Enclosed please find the Reporting and Disclosure Compliance Statement for the Butler University Eligible Deferred Compensation Plan. The Statement is filed in compliance with Section 110 of the Employee Retirement Income Security Act and the regulations thereunder, found in Section 2520.104-23, as an alternative method of compliance.

If you have any issues regarding this, please contact the undersigned.

Very truly yours,

A handwritten signature in black ink, appearing to read "B. Arick", written over a horizontal line.

Bruce E. Arick, Assistant Treasurer

cc: Tara Schulstad Sciscoe

Enclosure

**REPORTING AND DISCLOSURE COMPLIANCE STATEMENT
UNDER SECTION 2520.104-23**

Butler University is filing this Reporting and Disclosure Compliance Statement in compliance with ERISA Section 110 and the regulations thereunder, found in Section 2520.104-23, and in connection herewith, provide the following information.

EMPLOYER/PLAN ADMINISTRATOR: Butler University

ADDRESS: 4600 Sunset Avenue
Indianapolis, IN 46208

EMPLOYER IDENTIFICATION NUMBER: 35-0867977

PLAN NAME: Butler University Eligible Deferred Compensation Plan

NUMBER OF PLANS: 1

NUMBER OF EMPLOYEES CURRENTLY PARTICIPATING IN EACH PLAN: 1

Butler University maintains the above-named unfunded plan of deferred compensation primarily for a select group of management or highly compensated employees.

Upon request, Butler University will provide the plan documents to the Secretary of the Department, as required by Section 104(a)(6) of ERISA.

Very truly yours,



Bruce E. Arick, Assistant Treasurer