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DATE: 07/12/2007

TO: Pension and Welfare Benefits Administration
 Room N-5644
 U.S. Department of Labor
 200 Constitution Avenue N.W.
 Washington, D.C. 20210

FROM: Employer: Commonwealth Financial Group
 Employer I.D. Number: 57-1115366
 Address: 225 Seven Farms Drive, Suite 106
 Daniel Island, SC 29492
 Phone: 843-884-4545

RE: Top Hat Plan Exemption

This document constitutes the statement required by 29 C.F.R. 2520, 104 -23(a)(1) to be filed with the Secretary of Labor in respect to Nonqualified Benefit Plans maintained by the above employer.

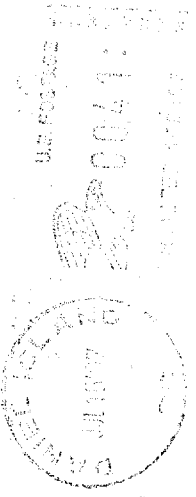
The employer currently maintains one Nonqualified Benefit Plan(s) for managerial and highly compensated employees. The plan provides for participation by managerial and highly compensated employees and independent contractors. Copies of the plan(s) will be provided to the Department upon the receipt of a written request.

The number of participants in each plan is as follows:

Plan 1 - 3

Signed *William B. Smith*

(Authorized Signature of Employer)



SPD

PENSION AND WELFARE BENEFITS ADMIN
Room N-5644

US DEPT OF LABOR
200 CONSTITUTION AVE NW
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COMMONWEALTH
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