



Founders  
Financial, Inc.  
An Independent Producer Group  
Member of the Financial Industry Regulatory Authority (FINRA)

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January 1, 2007

Secretary of Labor  
Top Hat Plan Exemption  
Employee Benefits Security Administration  
Room N-1513  
U.S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, D.C. 20210

Re: Founders Financial, Inc. Nonqualified Profit Sharing Plan

Dear Secretary:

Pursuant to Section 2520.104-23 of the Department of Labor's Regulations, this letter will serve as notice that, with respect to the Founders Financial, Inc. Nonqualified Profit Sharing Plan, the undersigned intends to utilize the alternative form of compliance with the reporting and disclosure requirements of Part 1 of Title I of the Employee Retirement Income Security Act of 1974 ("ERISA"), which alternative form of compliance is provided in the Regulations Section cited above.

Pursuant to Regulations Section 2520.104-23(b), the following information is provided:

1. Name and Address of Employer: Founders Financial, Inc.  
1020 Cromwell Bridge Rd.  
Towson, Md. 21286
2. Employer Identification Number: 52-2062849
3. The Employer maintains the Plan primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees. Initially, there are 30 participants in the Plan.
4. In addition to the Plan described above, the Employer does not maintain any other arrangements providing deferred compensation and/or other benefits to a select group of management or highly compensated employees.

Pursuant to Regulations Section 2520.104-23(b)(2), the Employer will provide plan documents to the Secretary of Labor upon request as required by Section 104(a)(1) of ERISA.

Very truly yours,

Michael O. Brooks CEO & President  
Founders Financial, Inc.

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