

FCSTONE GROUP, INC.  
COMMODITY RISK INTELLIGENCE

2829 Westown Parkway  
Suite 100  
West Des Moines, Iowa 50266

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March 30, 2007

Top Hat Plan Exemption  
Employee Benefits Security Administration  
U.S. Department of Labor  
200 Constitution Avenue, N.W., Suite N-1513  
Washington, DC 20210

Re: Top Hat Plan Exemption

Dear Secretary:

The purpose of this letter is to provide alternative single filing compliance with reporting and disclosure requirements regarding Non Qualified Top Hat Plans under Part 1 of Title 1 of the Employee Retirement Income Security Act of 1974. Pursuant to Regulation Section 2520.104-23(b), we provide the following information:

1. Employer Name: FCStone Group, Inc.
2. Employer Address: 2829 Westown Parkway, Suite 100  
West Des Moines, Iowa 50266
3. Employer EIN: 42-1091210
4. Plan Name: FCStone Group, Inc. Change In Control  
Severance Plan, Adopted on 2/15/2007
5. The Plan is maintained primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.
6. Number of Plans: One
7. Number of Employees in each Plan(s): Seven

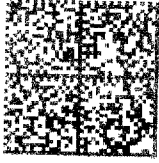
The Employer will provide plan documents, if any, to the Secretary upon request as required by Section 104(a)(1) of ERISA.

Sincerely,

David A. Bolte  
Secretary  
FCStone Group, Inc.

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2829 Westown Parkway  
Suite 100  
West Des Moines, Iowa 50266  
www.fcstone.com

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