

2520080800203



SCIENCE FOR THE BENEFIT OF HUMANITY

07 MAR 27 AM 11:09

Name of Tax-Exempt Employer: The Rockefeller University

Address of Tax-Exempt Employer: 1230 York Avenue  
New York, NY 10021

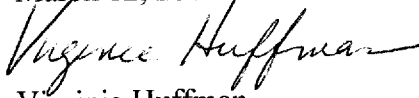
E.I.N.: 13-1624158

Top-Hat Statement

By Plan Administrator

The Rockefeller University (the "Employer"), hereby declares that the purpose of the Chief Investment Officer Plan of The Rockefeller University (the "Plan") is to provide deferred compensation primarily for a select group of management and highly compensated employees. The number of employees covered under the Plan is 1.

Date: March 12, 2007

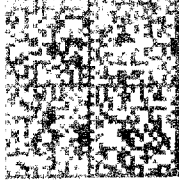
By:   
Virginia Huffman

Title: VP, Human Resources



THE ROCKEFELLER UNIVERSITY  
1230 YORK AVENUE · NEW YORK, NEW YORK · 10021-6399

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Top Hat Plan Exemption  
Pension and Welfare Benefits Administration  
Room N-5644  
U.S. Department of Labor  
200 Constitution Avenue, NW  
Washington, D.C. 20210

2021080000

