

E S A I C, Inc. d/b/a EASTERN SHORE ASSOCIATES
Canalview Center
Post Office Box 480
Fulton, New York 13069-0480

07 JAN 23 AM 11:37

To: Top Hat Plan Exemption
Pension and Welfare Benefits Administration
Room N-5644
US Department of Labor
200 Constitution Avenue
Washington, DC 20210

Re: E S A I C, Inc. d/b/a Eastern Shore Associates (the "Corporation") – Reporting and Disclosure Compliance Statement

Ladies and Gentlemen:

In compliance with Section 110 of the Employee Retirement Income Security Act of 1974 ("ERISA") and regulations thereunder at Section 2520.104-23, the Corporation is filing this Reporting and Disclosure Compliance Statement and in connection herewith provides the following information:

1. The name, address and employer identification number of the employer is as follows:

Employer: E S A I C, Inc. d/b/a Eastern Shore Associates
Employer Identification No. 16-1265337
Address: Canalview Center, P.O. Box 480, Fulton, New York
13069-0480

2. The Corporation has entered into Supplemental Employee Retirement Agreements with four management and highly compensated employees. The arrangement includes four agreements covering four employees. The Corporation has been advised that should the arrangement constitute an employee benefit plan under ERISA, it should be exempt from reporting and disclosure requirements under ERISA (pursuant to DOL Reg. §2520.104-23), other than the requirement to provide plan documents to the Secretary of Labor upon request. Therefore, this constitutes a precautionary filing pursuant to the referenced regulation and does not constitute an indication or admission to the contrary by the Corporation.

3. The Corporation will provide the agreements to the Secretary of Labor upon request.

Please confirm receipt of this notice by date-stamping the enclosed copy of this notice and returning the copy to the Corporation in the enclosed self-addressed, stamped envelope.

E S A I C, Inc. d/b/a Eastern Shore Associates

TSS
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0004336469 JAN 17 2007
\$ 00.39⁰
MAILED FROM ZIP CODE 13202

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