



LABioMed

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**Los Angeles Biomedical
Research Institute**
at Harbor-UCLA Medical Center

U.S. DEPARTMENT OF LABOR
BUREAU OF EMPLOYMENT
STANDARDS

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1124 West Carson St. Bldg N12
Torrance, CA 90502

www.LABioMed.org

Human Resources

[p] 310-222-3616

[f] 310-222-3640

Top Hat Plan Exemption
Employee Benefits Security Administration
Room N-1513
U.S. Department of Labor
200 Constitution Avenue, NW
Washington, DC 20210-0002

Re: Top Hat Notice for Los Angeles Biomedical Research Institute at Harbor-UCLA Medical Center
457(b) Deferred Compensation Plan

Dear Sir or Madam:

The Los Angeles Biomedical Research Institute at Harbor-UCLA Medical Center ("the Institute") hereby submits this Top Hat Notice, pursuant to 29 C.F.R. § 2520-104.23, for purposes of alternative compliance with the reporting and disclosure requirements of Part 1 of Title I of the Employee Retirement Income Security Act of 1974 ("ERISA") for the Institute's 457(b) Deferred Compensation Plan ("the Plan"). As required by the aforementioned regulation, the Institute states as follows:

1. **Name and Address of Employer:** Los Angeles Biomedical Research Institute at Harbor-UCLA Medical Center; 1124 West Carson Street; Torrance, CA 90502-2006.
2. **EIN of Employer:** 95-2138184.
3. The undersigned hereby certifies that the Institute maintains the Plan primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.
4. There is one such plan and 27 employees participate in the Plan.

Sincerely yours,

Jay D. Schoenau

Vice President – Finance and Chief Financial Officer

cc: Kevin L. Wright, Esq.



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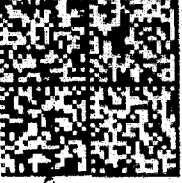
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HR

1124 West Carson Street
Torrance, CA 90502

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