

2520070730020

U.S. DEPT. OF LABOR  
OFFICE OF EMPLOYMENT  
STABILITY AND DISABILITY

**Top Hat Plan Statement**  
To be Filed with the Department of Labor

06 OCT -5 PM 11:12

*This Top Hat Plan Statement has been provided as a sample only, and must be reviewed and completed by the Sponsor and the Sponsor's legal counsel prior to filing with the Secretary of Labor.*

**Statement Required Under Department Of Labor Regulations Section 2520.104-23**

The Employer named below maintains a plan or plans primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

Name of Employer: Virginia Tech Intellectual Properties, Inc

Address of Employer: 2200 Kraft Drive, Suite 1050, Blacksburg VA 24060

Employer's Employer Identification Number (EIN):  
54-1323858

Number of such plans: 1

Number of employees in each plan: 0

This Statement must be filed within 120 days after the plan becomes subject to Title I, Part 1 of the Employee Retirement Income Security Act of 1974, as amended ("ERISA"). The Employer may be required to provide plan documents, if any, to the Secretary of Labor upon request as required by Section 104(a)(1) of ERISA.

Mail the completed Statement to the Secretary of Labor at:

Top Hat Plan Exemption  
Employee Benefits Security Administration  
Room N-5644  
U.S. Department of Labor  
200 Constitution Avenue, N.W.  
Washington, D.C. 20210

From: Origin ID: (540)951-9374  
VIRGINIA TECH INTELLECTUAL PROP

2200 Kraft Drive, Suite 1050  
BLACKSBURG, VA 24060



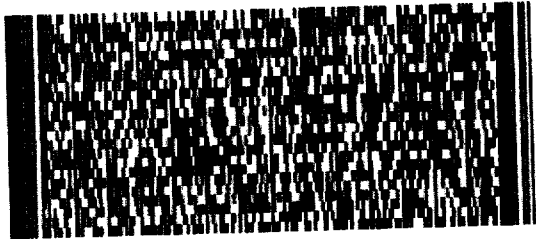
Ship Date: 28SEP06  
ActWgt: 1 LB  
System#: 4513490/INET2500  
Account#: S \*\*\*\*\*

REF: Emp Benefits



Delivery Address Bar Code

SHIP TO: (866)487-2365 **BILL SENDER**  
**Top Hat Plan Exemption Employee Ben**  
**US Dept of Labor**  
**Room N-5644**  
**200 Constitution Avenue, NW**  
**Washington, DC 20210**



**STANDARD OVERNIGHT**

**FRI**  
Deliver By:  
29SEP06

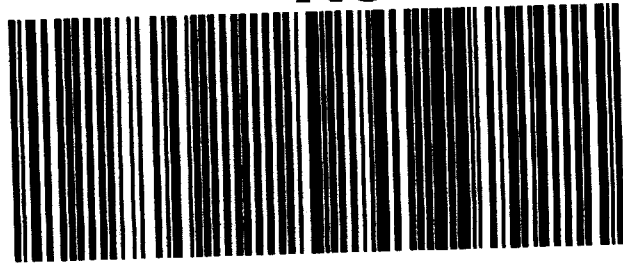
TRK# 7900 8132 9097

FORM  
0201

**IAD A2**

20210 -DC-US

**XC NHKA**



Shipping Label: Your shipment is complete

1. Use the 'Print' feature from your browser to send this page to your laser or inkjet printer.
2. Fold the printed page along the horizontal line.
3. Place label in shipping pouch and affix it to your shipment so that the barcode portion of the label can be read and scanned.

**Warning: Use only the printed original label for shipping. Using a photocopy of this label for shipping purposes is fraudulent and could result in additional billing charges, along with the cancellation of your FedEx account number.**

Use of this system constitutes your agreement to the service conditions in the current FedEx Service Guide, available on fedex.com. FedEx will not be responsible for any claim in excess of \$100 per package, whether the result of loss, damage, delay, non-delivery, misdelivery, or misinformation, unless you declare a higher value, pay an additional charge, document your actual loss and file a timely claim. Limitations found in the current FedEx Service Guide apply. Your right to recover from FedEx for any loss, including intrinsic value of the package, loss of sales, income interest, profit, attorney's fees, costs, and other forms of damage whether direct, incidental, consequential, or special is limited to the greater of \$100 or the authorized declared value. Recovery cannot exceed actual documented loss. Maximum for items of extraordinary value is \$500, e.g. jewelry, precious metals, negotiable instruments and other items listed in our Service Guide. Written claims must be filed within strict time limits, see current FedEx Service Guide.