

Department of Labor Notification Letter

TO: Top Hat Plan Exemption
Pension and Welfare Benefits Administration
Room N-1513 – Public Disclosure Room
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, DC 20210

06 SEP 25 PM 1:47
U.S. DEPT OF LABOR
PENSION AND WELFARE BENEFITS ADMINISTRATION

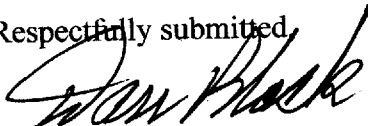
FROM: **Municipal Advisory Council**
Employer Identification Number: 74-1213601-MAC
~~P.O. Box 17428~~ P.O. Box 2177
Austin, TX ~~78760~~ 78768 - 2177

9/15/06 DATE

This document constitutes the statement required by 29 C. F. R. Sec. 2520 104-23 (a) (1) to be filed with the Secretary of Labor in respect to a Non-Qualified Deferred Compensation Plan maintained by the above employer.

The employer currently maintains 1 Nonqualified Deferred Compensation Plan for employees who are members of a select group of management or who are highly compensated. There are currently 3 participants in the plan. A copy of the plan document will be furnished upon request.

Respectfully submitted,



Dan Black,
President

Young Financial Group LLC
401 Congress Avenue
Suite 1400
Austin, Texas 78701

AUSTIN TX 787

18 SEP 2008 PM 5:07

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MAILED FROM ZIP CODE 78701

Top Hat Plan Exemption
Division and Welfare Benefits Administration
Room 4-1513 - Public Disclosure Room
US Dept. of Labor
200 Constitution Ave, N.W.
Washington, DC 20210

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