

**Alternative Reporting And Disclosure Statement
For Nonqualified Deferred Compensation Plans**

06 JUL 18 PM 12:40

To: Top Hat Plan Exemption
Employee Benefits Security Administration
Room N 1513
U.S. Department of Labor
200 Constitution Ave. N.W.
Washington, DC 20210

In compliance with the requirements of the alternative method of reporting and disclosure under Part I of Title I of the Employee Retirement Income Security Act of 1974 for un-funded or insured pension plans for a select group of management or highly compensated employees, specified in Department of Labor Regulations, 29 CFR Sec. 2520.104-23, the following information is provided by the undersigned administrator:

1. The name of the Employer is: Duff and Phelps LLC
2. The mailing address of the Employer is: 1221 Avenue of the Americas,
44th Floor
New York, NY 10020
3. The Employer Identification Number is: 364090666
4. The above named Employer maintains a plan primarily for the purpose of providing deferred compensation benefits for a select group of management or highly compensated employees.
5. Number of Plans and eligible Employees in each Plan:
One Plan covering 105 Eligible Employees.
6. The Employer will provide a copy of the agreement to the office of Pension and Welfare Benefit Program upon request.

Duff and Phelps, LLC
A Delaware Corporation

By: 

Scott J. Oblow
Managing Director of Human Capital

Dated: 7/11/09

