

ROBINS & MORTON

Birmingham Dallas Nashville Orlando

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Top Hat Plan Exemption
Employee Benefits Security Administration
Room N-1513
200 Constitution Avenue, NW
Washington, D.C. 20210

To the Secretary of Labor:

In compliance with the requirements of the alternative method of reporting and disclosure under Part 1 of Title I of the Employee Retirement Income Security Act of 1974 for unfunded or insured pension plans for a select group of management or highly compensated employees, specified in Department of Labor Regulations, 29 CFR § 2520.104-23, the following information is provided by the undersigned administrator:

- (1) The name of the employer is: The Robins & Morton Group.
- (2) The mailing address of the employer is:

400 Shades Creek Parkway, Ste. 200
Birmingham, AL 35209
- (3) The Employer Identification Number is: 63-1076743.
- (4) The above-named employer maintains a plan primarily for the purpose of providing deferred compensation benefits for a select group of management or highly compensated employees.
- (5) Number of Plans and Participants in each plan: 1 plan covering six (6) employees.
- (6) The employer will provide a copy of the agreements to the Secretary of Labor upon request.

THE ROBINS & MORTON GROUP

By: Robins & Morton Corporation

Its: General Partner

By: 
Its: President

CERTIFIED MAIL™



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