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TOP-HAT PLAN EXEMPTION STATEMENT

U.S. DEPT. OF LABOR
EMPLOYEE BENEFIT ADMINISTRATION
05 NOV -8 AM 11:29

Top-Hat Plan Exemption
PWBA
Room N-5644
U.S. Department of Labor
200 Constitution Ave., NW
Washington, D.C. 20210

Employer Name: Albany Guardian Society
Address: 12 Corporate Woods Blvd. 2nd Floor, Albany, New York 12211
Employer EIN: 14-1363010
Name of Plan: Albany Guardian Society Eligible 457 Plan
The Plan is maintained for a select group of management or highly compensated employees.
Number of Plans: one
Number of Employees in Plan(s): one

ALBANY GUARDIAN SOCIETY
12 CORPORATE WOODS BLVD 2nd FLOOR
ALBANY NY 12211

(mirrored bleed-through)

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WASHINGTON DC 20210

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