

# Appleton Marble & Granite Works



DESIGNERS AND BUILDERS OF  
**Monuments - Markers - Mausoleums**

1708 E. WISCONSIN AVE.  
APPLETON, WI 54911  
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October 16, 2001

Top Hat Plan Exemption  
Pension and Welfare Benefits Administration  
Room N-5644  
U.S. Department of Labor  
200 Constitution Avenue NW  
Washington, D.C. 20210

U.S. DEPT. OF LABOR  
PENSION AND WELFARE BENEFITS ADMINISTRATION  
01 NOV 28 PM 1:03

RE: Deferred Compensation Plan

Dear Sir/Madam:

Pursuant to the provisions of Department of Labor regulations at 29 C.F.R. Sec. 2520.104-23, you are hereby notified that the employer named in (1) below maintains a plan (as identified in item (2) below) primarily for the purpose of providing deferred compensation to a select group of management or highly compensated employees. Item (3) below sets forth the approximate number of participants in the plan as of the date of this letter.

- (1) Appleton Marble & Granite Works, Inc.  
1708 E. Wisconsin Avenue  
Appleton, WI 54911
- (2) One plan. Federal Employer Identification No. (EIN) 39-0133940.
- (3) There is one participant covered by the plan.

Kindly acknowledge receipt of this filing by signing and returning to the sender the copy of this letter enclosed herewith for acknowledgment purposes. A stamped, self-addressed envelope is also enclosed for your convenience.

Sincerely,

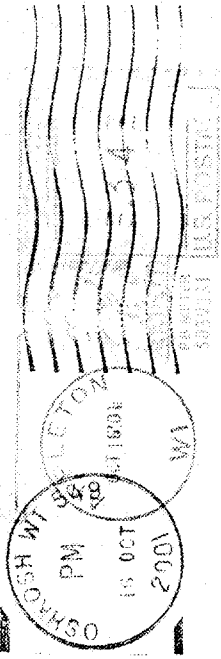
APPLETON MARBLE & GRANITE WORKS, INC.

Anthony P. Knuppel  
President

Enclosure



*Appleton Marble & Granite Works*  
Courteous, Professional Service Since 1887  
1708 E. Wisconsin Avenue  
Appleton, WI 54911-4097



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