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**STEINWAY MUSICAL INSTRUMENTS, INC.**

U.S. DEPT. OF LABOR  
PWSA/PUBLIC DISCLOSURE  
01 FEB -9 AM 8:09

**TOP HAT PLAN EXEMPTION STATEMENT  
PURSUANT TO 29 CFR 2520.104-23(b)**

To the Secretary of Labor:

In compliance with the requirements of the alternative method of reporting and disclosure under part 1 of Title 1 of the Employee Retirement Income Security Act of 1974 for unfunded or insured pension plans for a select group of management or highly compensated employees, specified in the Department of Labor Regulations, 29 C.F.R. §2520.104-23, the following information is provided by the undersigned employer:

**Name and Address of Employer:**

STEINWAY MUSICAL INSTRUMENTS, INC.  
800 SOUTH STREET  
WALTHAM, MA 02453-1472

**Employer's EIN:**

35-1910745

**Declaration & Statement:**

The aforementioned employer maintains one plan primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees: One such plan is in existence which currently covers SEVEN (7) participants.

**Provision of Plan Documents:**

The aforementioned employer acknowledges that it may be required to provide plan documents to the Secretary upon request as required by ERISA §104(a)(1).

STEINWAY MUSICAL INSTRUMENTS, INC.

By: *James J. Hanon, CFO*

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**INSTRUCTIONS FOR FILING THE TOP HAT EXEMPTION STATEMENT  
PURSUANT TO 29 CFR 2520.104-23**

**SIGNATURE REQUIREMENTS:**

- Exemption Statement should be signed where indicated on the bottom of the page by the Employer/Owner or the Plan Administrator.

**BEFORE FILING THIS RETURN:**

- Please fill in the number of participants that the Plan currently covers (currently 7).

**FILING REQUIREMENT:**

- The Exemption Statement should be filed with the Secretary of Labor:

**ON OR BEFORE April 30, 2001** at the following address:

**TOP HAT PLAN EXEMPTION  
PENSION AND WELFARE BENEFITS ADMINISTRATION – Room N-5644  
U.S. DEPARTMENT OF LABOR  
200 CONSTITUTION AVENUE N.W.  
WASHINGTON, DC 20210**

*We recommend that you mail the return using certified or registered mail with return receipt requested, to establish proof of mailing.*

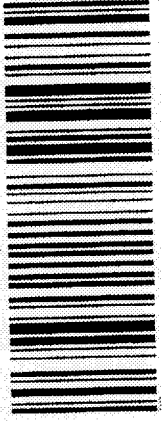
*Please retain one copy of the filing for your records and return the other copy to us.*

*If you receive correspondence from the Internal Revenue Service or the Department of Labor regarding this return, please contact at (617) 437-2546.*



STEINWAY MUSICAL INSTRUMENTS  
D. Hanson

GENERAL MAIL



7099 3400 0010 6990 7996

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PENSION & WELFARE BENEFITS ADMINISTRATION - ROOM N 5644  
U.S. DEPARTMENT OF LABOR  
200 CONSTITUTION AVENUE N.W.  
WASHINGTON, DC 20210