

DiCon

FIBEROPTICS, INC
1331 EIGHTH STREET
BERKELEY, CA 94710
TEL. (510)528-0427
FAX (510)528-1519

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Top Hat Plan Exemption
Pension and Welfare Benefits Administration
Room N-5644
U.S. Department of Labor
200 Constitution Avenue NW
Washington, DC 20210

Dear PWBA:

This statement is filed pursuant to Reg. §2520.104-23 to comply with the reporting and disclosure requirements of Part 1 of Title I of the Employee Retirement Income Security Act of 1974.

Name and address of the Employer

DiCon Fiberoptics, Inc.
1331 Eighth Street
Berkeley, CA 94710

Employer Identification Number

EIN: 94-3006185

Declaration

The employer maintains a plan or plans primarily for the purpose of providing deferred compensation for a select group of management or highly compensated employees. There is one such plan, the DiCon Fiberoptics, Inc. Select Group Phantom Stock Plan. It currently has 12 participants.

Very truly yours,

DICON FIBEROPTICS, INC.

By



President

Dicon

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