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December 29, 1992

CERTIFIED MAIL-RETURN RECEIPT REQUESTED

U.S. Department of Labor
Pension & Welfare Benefits Administration
P.O. Box 75212
Washington, D.C. 20013-5212

Re: Robert D. Hooper, D.D.S., P.A.
Top Hat Plan Grace Period Filing

Dear Sir/Madam:

The Department of Labor has announced (DOL Notice, 7/24/92, 57 Fed. Reg. 33019) that administrators of Top Hat Plans that have not filed timely statements in accordance with DOL Reg. 2520.104-23, but file such statements by the end of the grace period (extended to 12/31/92) will be considered to have elected compliance with the alternative method of compliance with ERISA's reporting and disclosure requirements set forth in the regulation, and will only be liable for the reduced penalties assessed during the grace period.

Accordingly, Robert D. Hooper, D.D.S., P.A. is submitting the attached Statement of Exemption under the Alternative Method of Compliance with the applicable reduced grace period penalty of \$1,000.

Kindly acknowledge receipt of this filing by signing and returning to the sender the copy of this letter enclosed herewith for acknowledgement purposes. A stamped, self-addressed envelope is also enclosed for your convenience.

Very truly yours,

Peter J. Shanley
Peter J. Shanley, Esquire
Attorney for
Robert D. Hooper, D.D.S., P.A.

DNC
JAN 23 1993

271883

LSM:mad/lsm-164.1tr
Enclosure

STATEMENT FOR EXEMPTION UNDER THE ALTERNATIVE METHOD
OF COMPLIANCE FOR PENSION PLANS
FOR CERTAIN SELECTED EMPLOYEES

"Top Hat" Plan Notification

Robert D. Hooper, D.D.S., P.A.
313 W. Main Street
Newark, DE 19711

Employer Identification No.: 51-0201104

This declaration certifies that Robert D. Hooper,
D.D.S., P.A.:

(1) maintains employee pension benefit plans that
are primarily for the purpose of providing deferred compensation
for a select group of management or highly compensated employees;
and

(2) for which benefits (i) are paid as needed
solely from the general assets of the employer, (ii) are provided
exclusively through insurance contracts or policies, the premiums
for which are paid by the employer from its general assets,
issued by an insurance company or similar organization which is
qualified to do business in any state, or (iii) both.

The following plans are maintained by Robert D. Hooper,
D.D.S., P.A.:

<u>Plan No.</u>	<u>Number of Employees</u>
1) Employment Agreement	1

ROBERT D. HOOPER, D.D.S., P.A.

By: Peter J. Shanley
Peter J. Shanley, Esquire
Attorney for the corporation

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DNC
JAN 28 1976

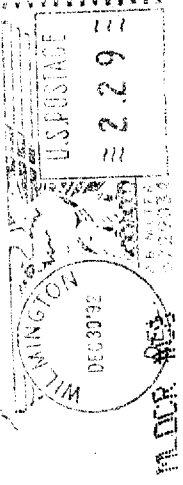
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SAUL, EWING, REMICK & SAUL
222 DELAWARE AVENUE
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WILMINGTON DE 19899

CERTIFIED

P 275 555 382

MAIL



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Pension & Welfare Benefits Adm.
P.O. Box 75212
Washington, DC 20013-5212

