



CAPSTONE TURBINE CORPORATION

21211 Nordhoff Street • Chatsworth, CA 91311
Phone: 818-734-5300 • Fax: 818-734-5320
www.microturbine.com

July 17, 2001

Certified Mail—Return Receipt Requested

Secretary of Labor
Top Hat Plan Exemption
Pension and Welfare Benefits Administration
Room N-5644
U.S. Department of Labor
200 Constitution Avenue, N.W.
Washington, D.C. 20210

U.S. DEPT. OF LABOR
PUB. L. DISCLOSURE
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Re: Capstone Turbine Corporation Deferred Compensation Plan

Dear Sir:

Pursuant to Department of Labor Regulation Section 2520.104-23, Capstone Turbine Corporation hereby files this statement with the Secretary of Labor declaring that, effective August 1, 2001, it adopted the Capstone Turbine Corporation Deferred Compensation Plan. In accordance with Section 2520.104-23, Capstone Turbine Corporation declares the following:

1. Name and address of employer: Capstone Turbine Corporation
21211 Nordhoff Street
Chatsworth, CA 91311
2. Employer identification number: 95-4180883
3. Capstone Turbine Corporation maintains one (1) unqualified, unfunded deferred compensation plan, which, as of August 1, 2001, will cover eight (8) executives.
4. The Plan is primarily maintained for the purpose of providing deferred compensation for a select group of management or highly compensated employees.

If you need any additional information, please do not hesitate to contact the undersigned.

Sincerely,

Dominic Lucenta
Vice President, Human Resources



CAPSTONE TURBINE CORPORATION
21211 Nordhoff Street • Chatsworth, CA 91311

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