



U.S. DEPARTMENT OF LABOR
PUBLIC DISCLOSURE
01 JUN 14 AM 11:41

May 29, 2001

Via Registered Mail

Top-Hat Plan Exemption
Pension and Welfare Benefits Administration
Room N-5638
U.S. Department of Labor
200 Constitution Avenue N.W.
Washington, D.C. 20210

2520052092118

Re: Delinquent Top Hat Plan Filing – Pursuant to Delinquent Filer Voluntary Compliance Program

Gentlemen:

Pursuant to Section 4 of the Department of Labor (the "DOL") Notice (the "Notice") issued April 27, 1995, whereby the DOL creates the Delinquent Filer Voluntary Compliance Program ("DFVCP"), the undersigned is hereby providing the DOL with the following:

1. A copy of the signed and dated Form 5500 report with the lines 1(a-c), 2(a-c), 3(a-c), and 8(a-c) completed, that was filed with the Pension and Welfare Benefits Administrator ("PWBA").
2. A copy of the check for \$2,500 made payable to the DOL and sent by separate letter to the PWBA;
3. A copy of the cover letter that accompanied the filing of the Form 5500 to the DOL; and
4. The following statement that pursuant to Notice Section 4.01(b) is to be provided to the DOL for the top-hat plan that has been maintained by the undersigned employer.

Plan Name – Chas Roberts Air Conditioning, Inc. Bonus and Deferred Compensation Plan

Plan Sponsor – Chas Roberts Air Conditioning, Inc.

Plan Sponsor's Address – 9828 N. 19th Ave., Phoenix, Az. 85021

Plan Sponsor's ID. No. – 86-0113254

No. of Other Top Hat Plans of EER – 0

No. of Plan Participants – 4

Effective Date – 9-23-99

Please be advised that the employer maintains, or previously maintained, the above-listed plan for the purpose of providing deferred compensation to a select group of highly compensated or managerial employees of employer.

Very truly yours,

Chas Roberts Air Conditioning, Inc.

By Walter H. Palmer
Its CFO

Enclosures

cc: Robert J. Werner, Esq.
Jerry Carlson



May 29, 2001

Via Registered Mail

U.S. Department of Labor
DFV Program
Pension and Welfare Benefits Administration
P.O. Box 277025
Atlanta, Georgia 30384-7025

Dear Sirs:

Pursuant to the Delinquent Filer Voluntary Compliance Program ("DFVCP") notice (the "Notice") issued by the Department of Labor (the "DOL") on April 27, 1995, the undersigned employer is hereby enclosing the following:

1. A signed Form 5500 completed in accordance with Notice Sections 4.01(a) and (d) as modified by more recent DOL announcements;
2. A check made payable to the DOL in the amount of \$2,500; and
3. A copy of the top hat plan disclosure filing made by separate letter to the DOL in Washington, D.C. pursuant to Notice Section 4.01(c).

Please call if you have any questions with respect to this letter or the enclosures.

Very truly yours;

Chas Roberts Air Conditioning, Inc.

By Walter H. Palmer
Its CFO

Enclosures

cc: Robert J. Werner, Esq.
Jerry Carlson

CHAS ROBERTS AIR CONDITIONING, INC.
9828 NORTH 19th AVENUE / PHOENIX, ARIZONA 85021-1992

VENDOR NO.
VENDOR NAME

CHECK NO. **53126**

INVOICE DATE	INVOICE NUMBER	INVOICE AMOUNT	DISCOUNT	AMOUNT PAID	COMMENTS
5/31/01 RE:	DELINQUENT	2500.00 FILER	VOLUNTARY	2500.00 COMPLIANCE	160-5026 PROGRAM

DETACH AND RETAIN THIS STATEMENT.
THE ATTACHED CHECK IS IN PAYMENT
OF ITEMS DESCRIBED ABOVE.



000007017



RR 095 709 234 US

FIRST CLASS MAIL

TOP-HAT PLAN EXEMPTION
PENSION AND WELFARE BENEFITS ADMIN
ROOM N-5638
US DEPARTMENT OF LABOR
200 CONSTITUTION AVE NW
WASHINGTON DC 20210



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